

# Hallmark: Resisting cell death

**Specific barrier:** Outlaw cells normally are eliminated by one or another form of 'programmed' cell death (most commonly apoptosis)



## Acquired capability:

- inactivation of proapoptotic regulators (e.g. p53 is mutated in 25% of all cancers)
- activation of specific survival signals



1

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### 1. Apoptosis as one form of Regulated Cell Death (RCD)

- Distinctive features of apoptosis, and the role of caspases
- Extrinsic versus intrinsic (mitochondrial) apoptosis
- Techniques to detect apoptosis

### 2. Tumor suppressive role of apoptosis

- Dual regulation of intrinsic apoptosis by the Bcl-2 family
- BH3 mimetics as novel anti-cancer drugs

### 3. Anti-apoptotic cell survival signaling of Akt

- Inactivation of p53 and/or its target genes
- Phosphorylation by Akt inactivates *multiple* pro-apoptotic signals
- Examples: Upstream regulators of Bcl-2 and p53

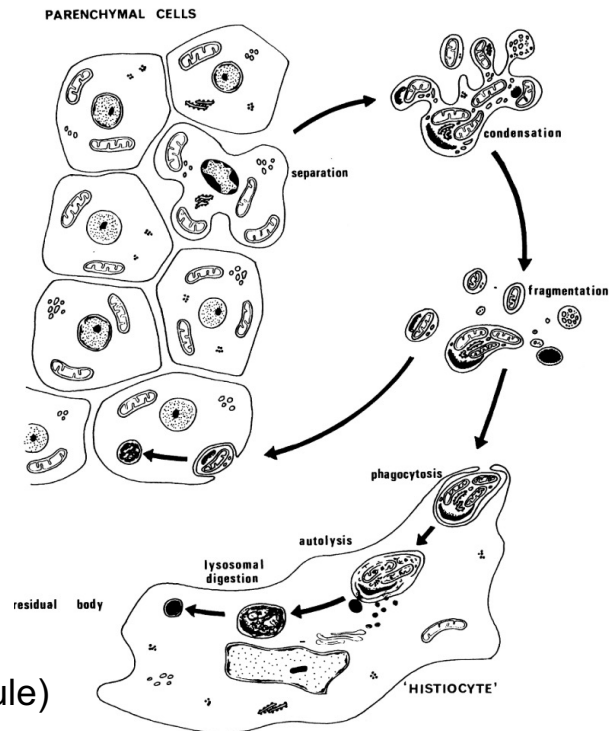
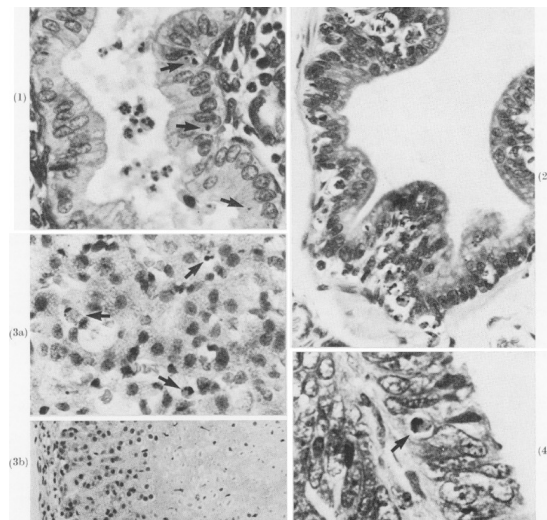
### 4. Cell survival signaling by mTOR complexes

- Discovery of mTOR and Rapamycin-like inhibitors (Rapalogs)
- Remaining hurdles for 2<sup>nd</sup> and 3<sup>rd</sup> generation mTOR inhibitors

2

# APOPTOSIS: A BASIC BIOLOGICAL PHENOMENON WITH WIDE-RANGING IMPLICATIONS IN TISSUE KINETICS

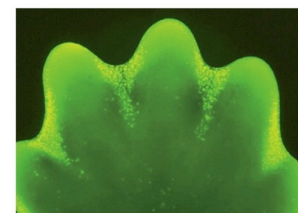
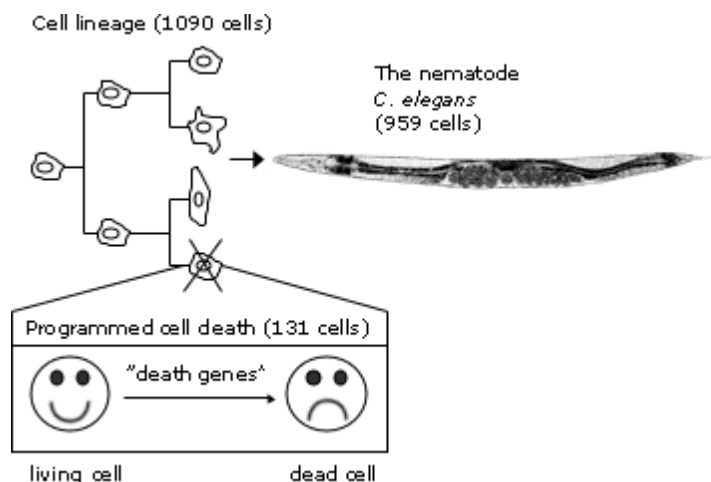
J. F. R. KERR\*, A. H. WYLLIE AND A. R. CURRIE†



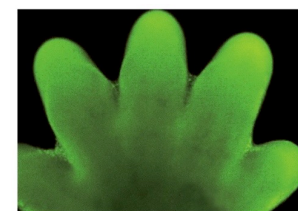
- Hormonally regulated
- Programmed (developmental schedule)
- Contributes to tumor shrinkage by therapies

3

## ‘Programmed’ cell death during development



(A)



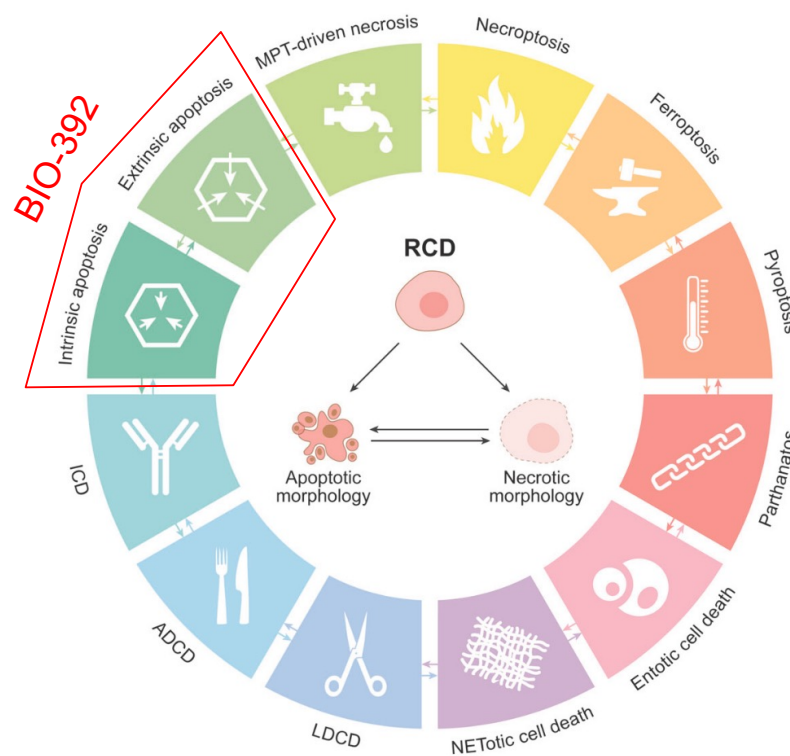
(B)

1 mm

- Elimination of supernumerary cells
- Sculpting tissues&organs (digits, metamorphosis, hollow structures...)
- Homeostasis (e.g. negative selection of >95% of all T- & B-lymphocytes)
- Quality control († of stressed cells after DNA damage, infection...)

4

## Disclaimer: There is *not* only apoptosis

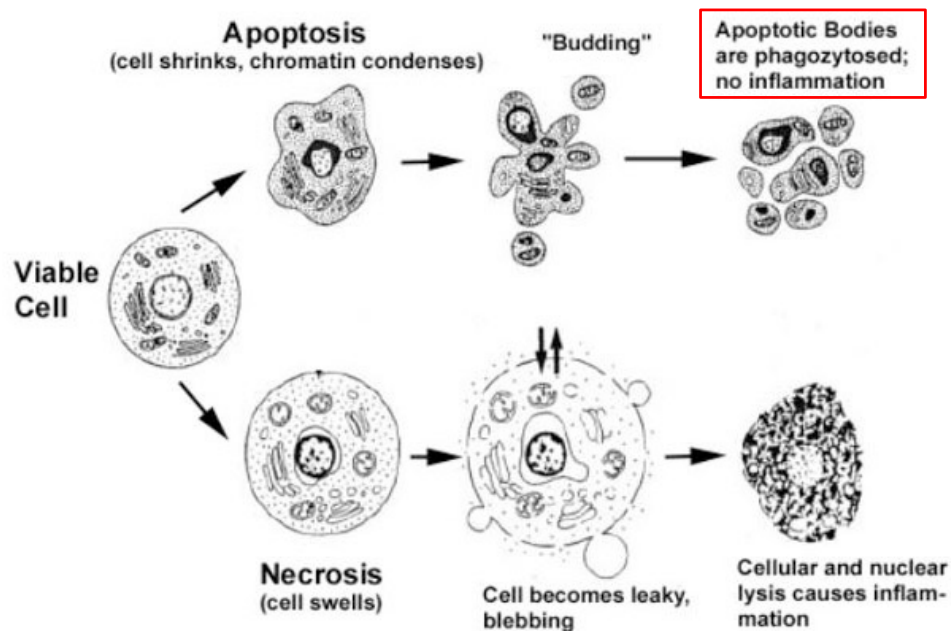


..but also other forms of **regulated** cell death (RCD)

Galluzzi et al. 2018, Cell Death and Differentiation 25:486-541

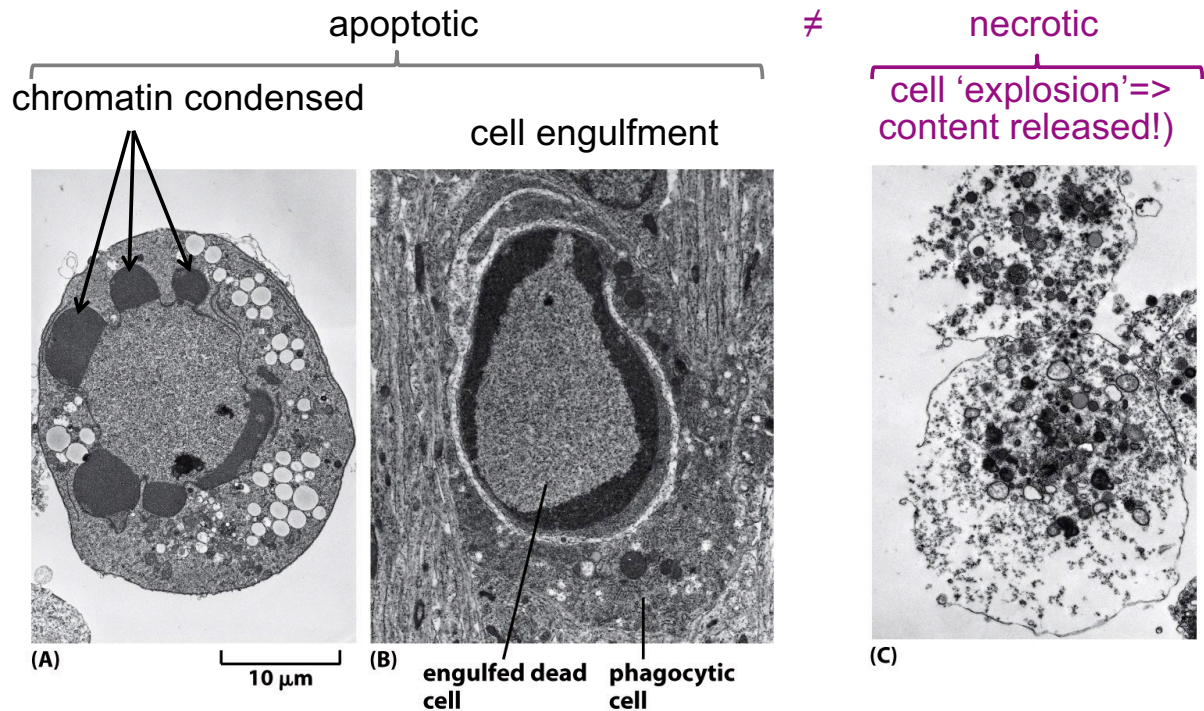
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## Distinctive features of apoptosis



7

# Morphological characteristics of apoptosis

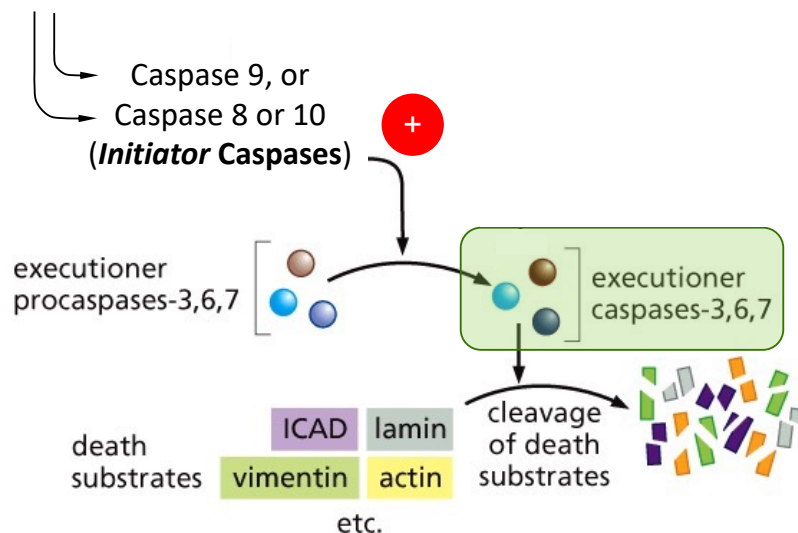


8

## Executioner caspases and their regulation

(cysteine-aspartic proteases)

Proapoptotic signals  
(diverse)

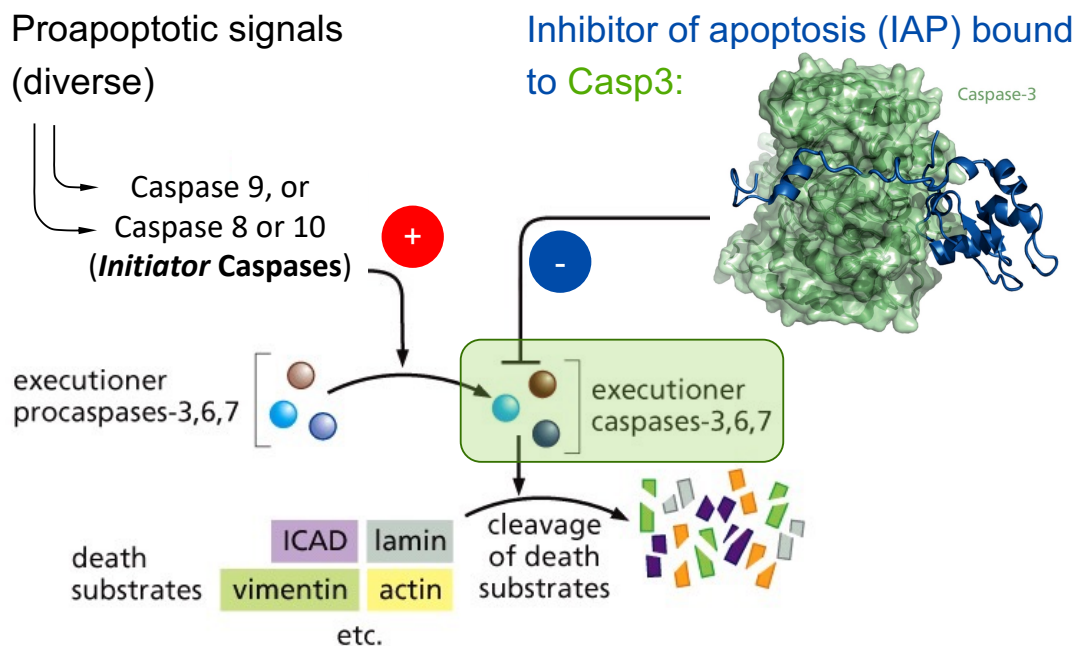


9



# Executioner caspases and their regulation

(cysteine-**asp**artic proteases)



10

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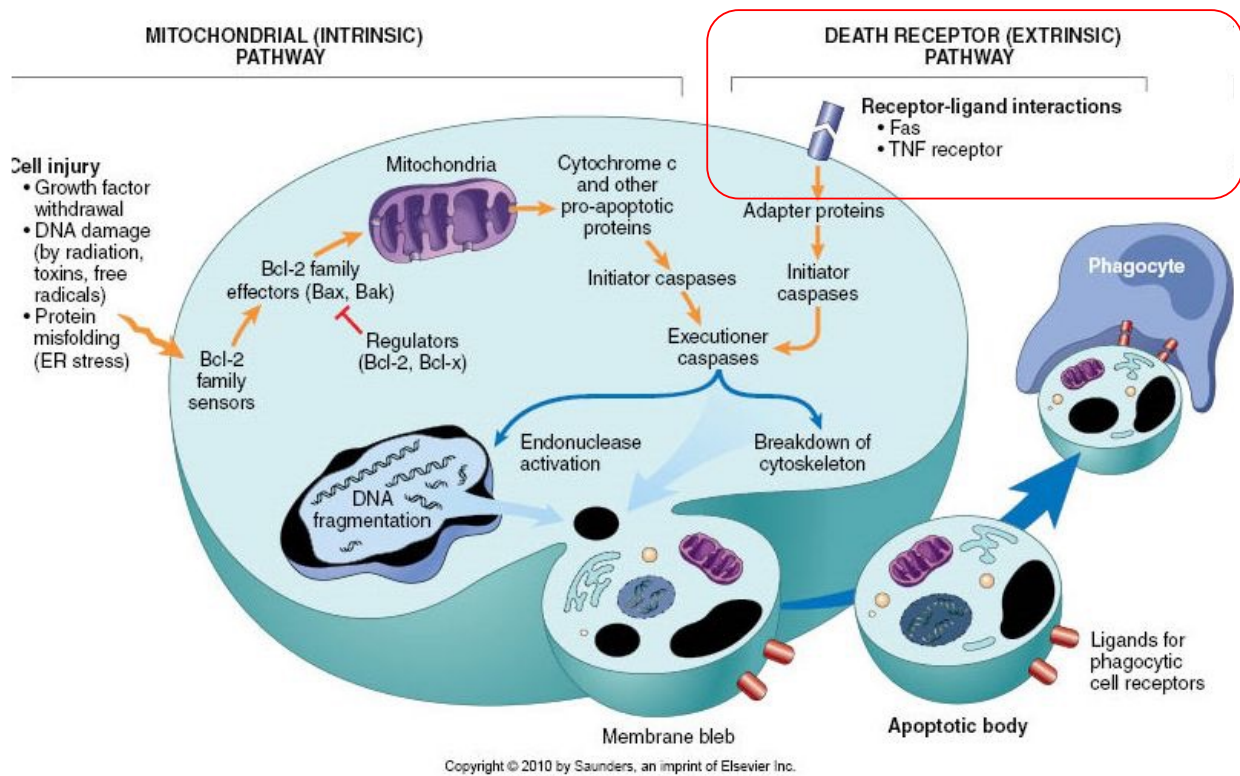
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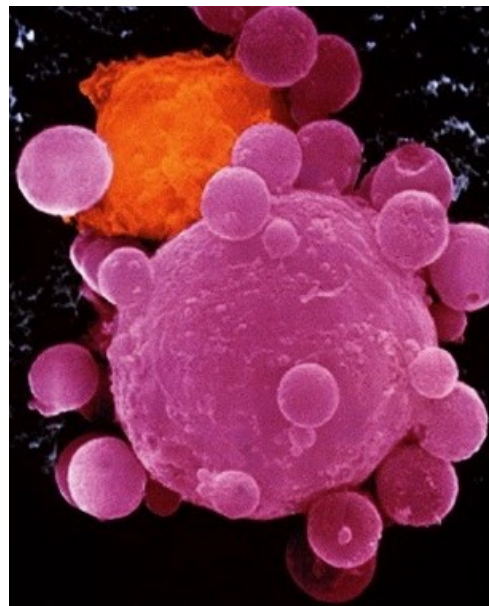
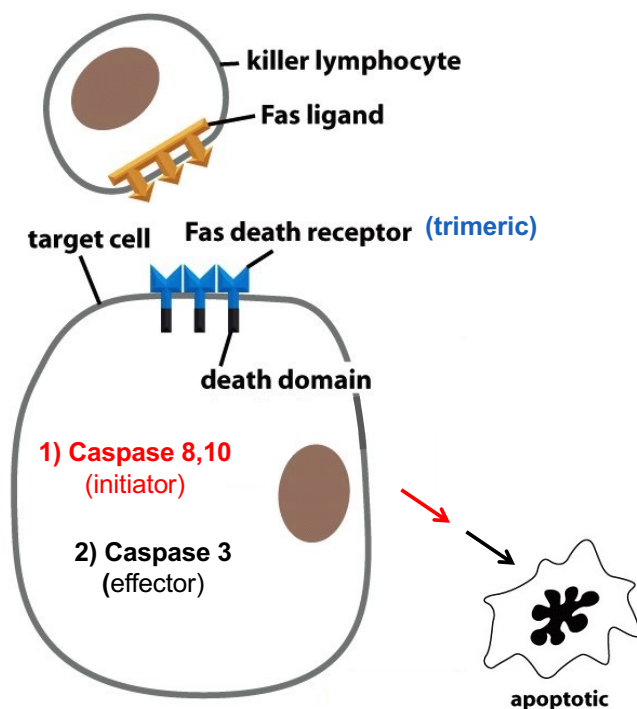
11

## Two classes of apoptotic stimuli (intrinsic & extrinsic)



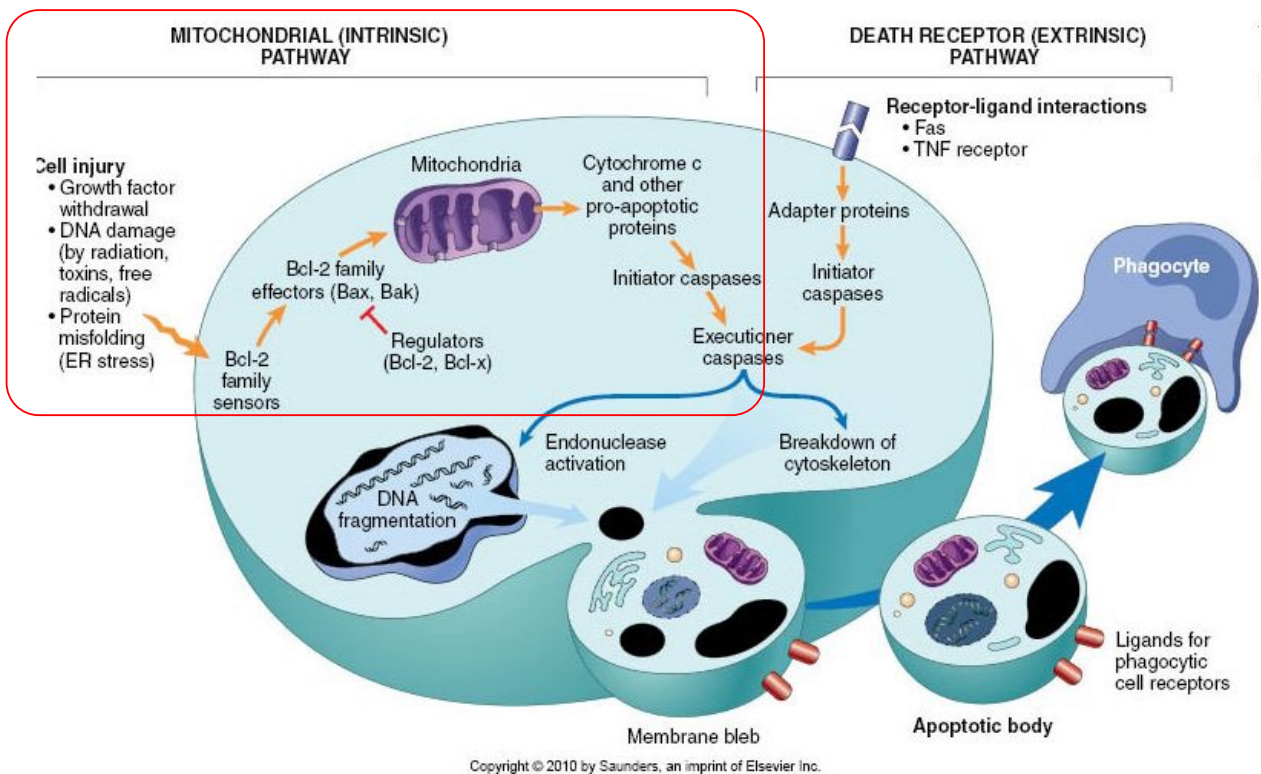
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Cytotoxic T lymphocytes (CTL) can activate a *death receptor* in infected or cancerous cells



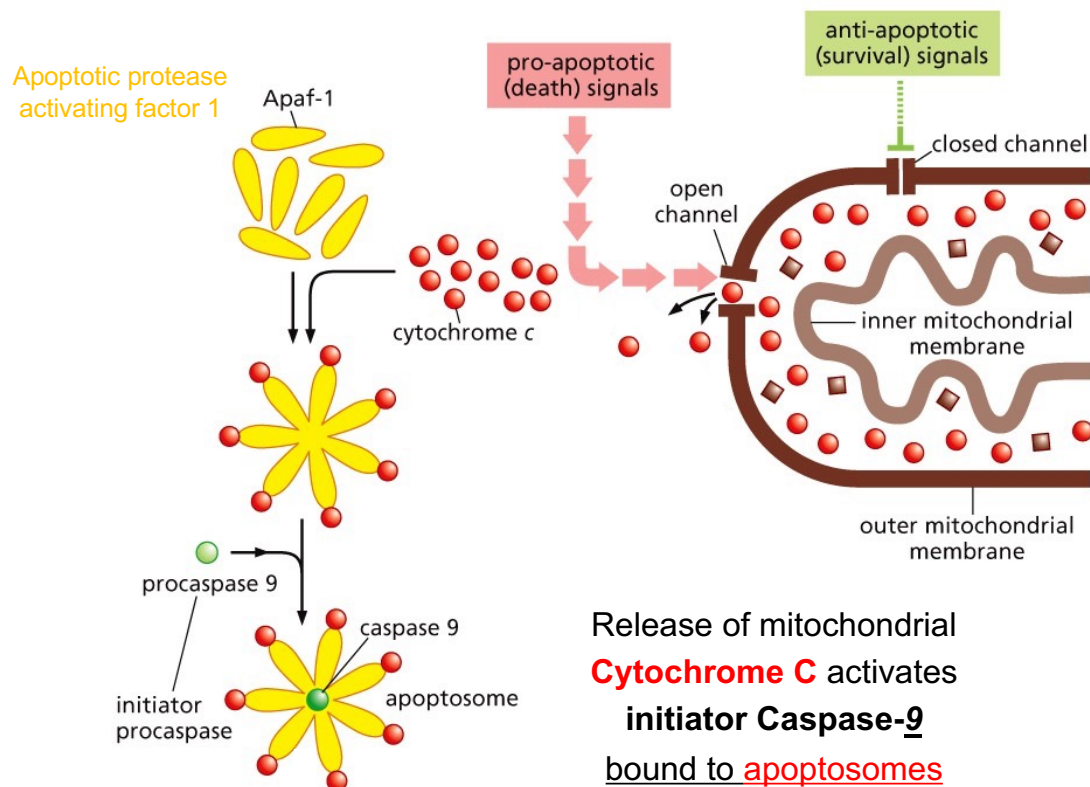
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14

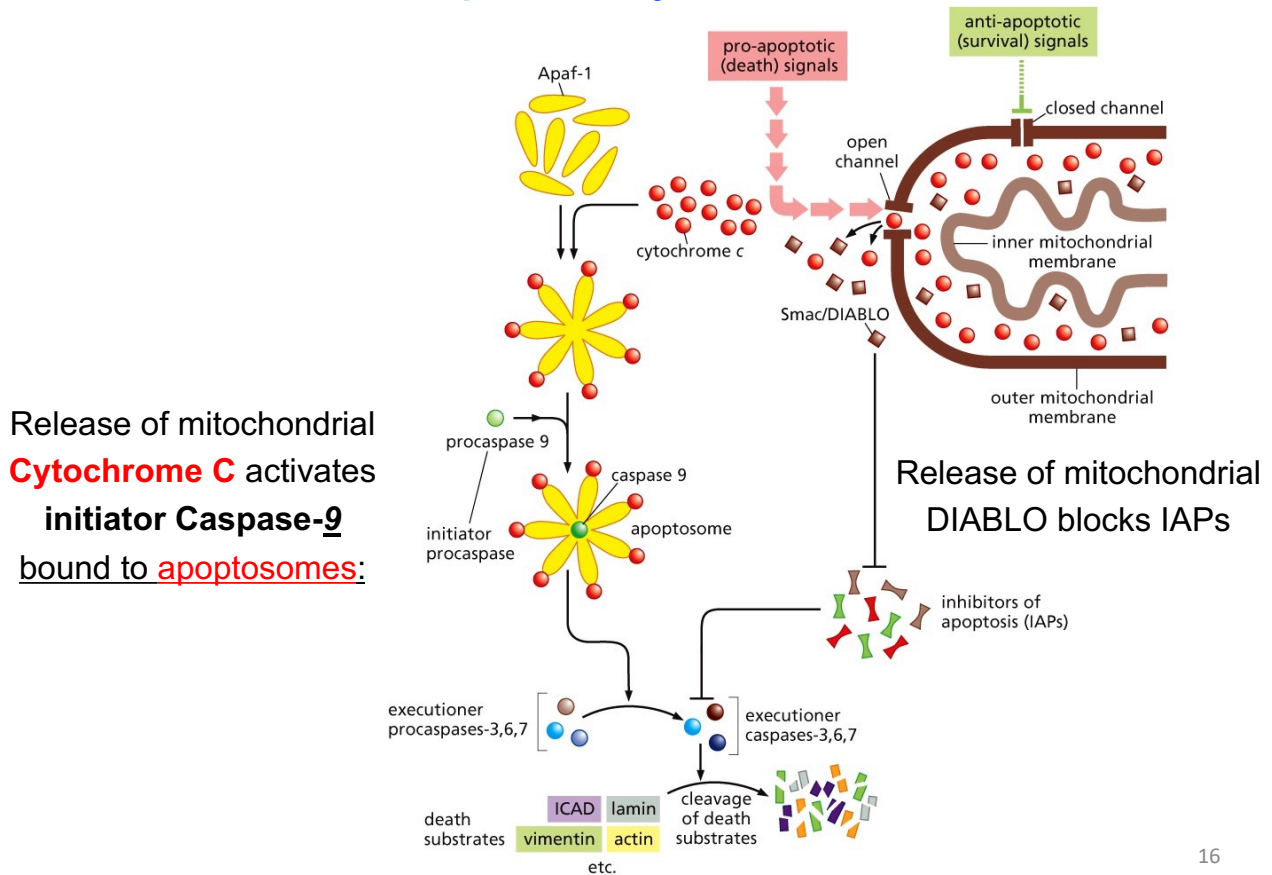
## Mitochondrial (intrinsic) pathway: Caspase-9



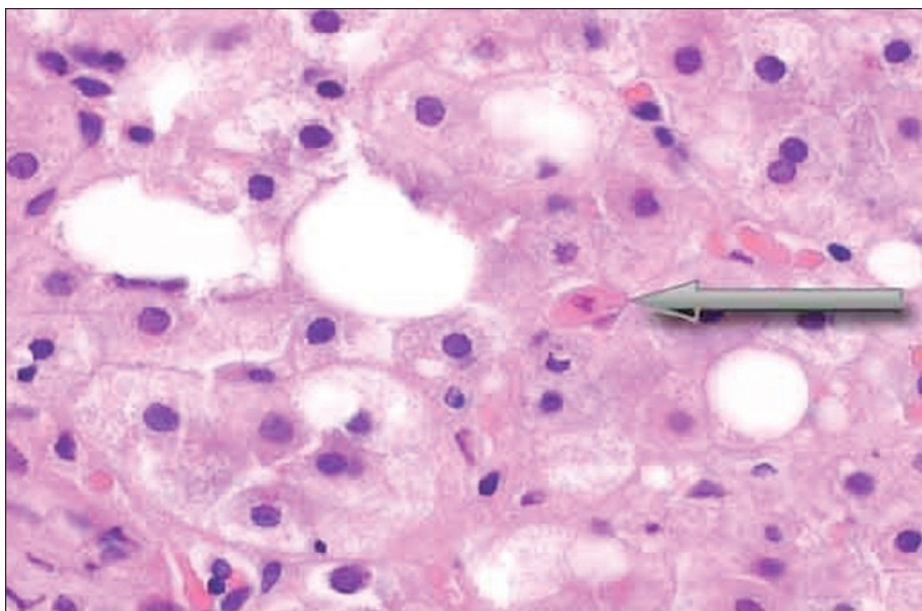
15



## The intrinsic pathway also inhibits IAPs



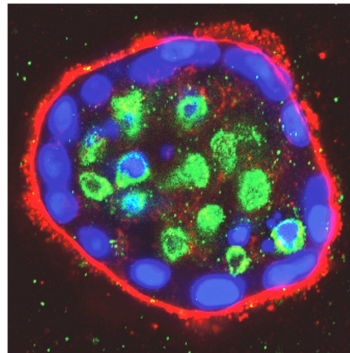
## Detection of apoptosis: How?



Arrow: Example of an apoptotic hepatocyte



## Immunostaining of activated Casp3 marks apoptotic cells

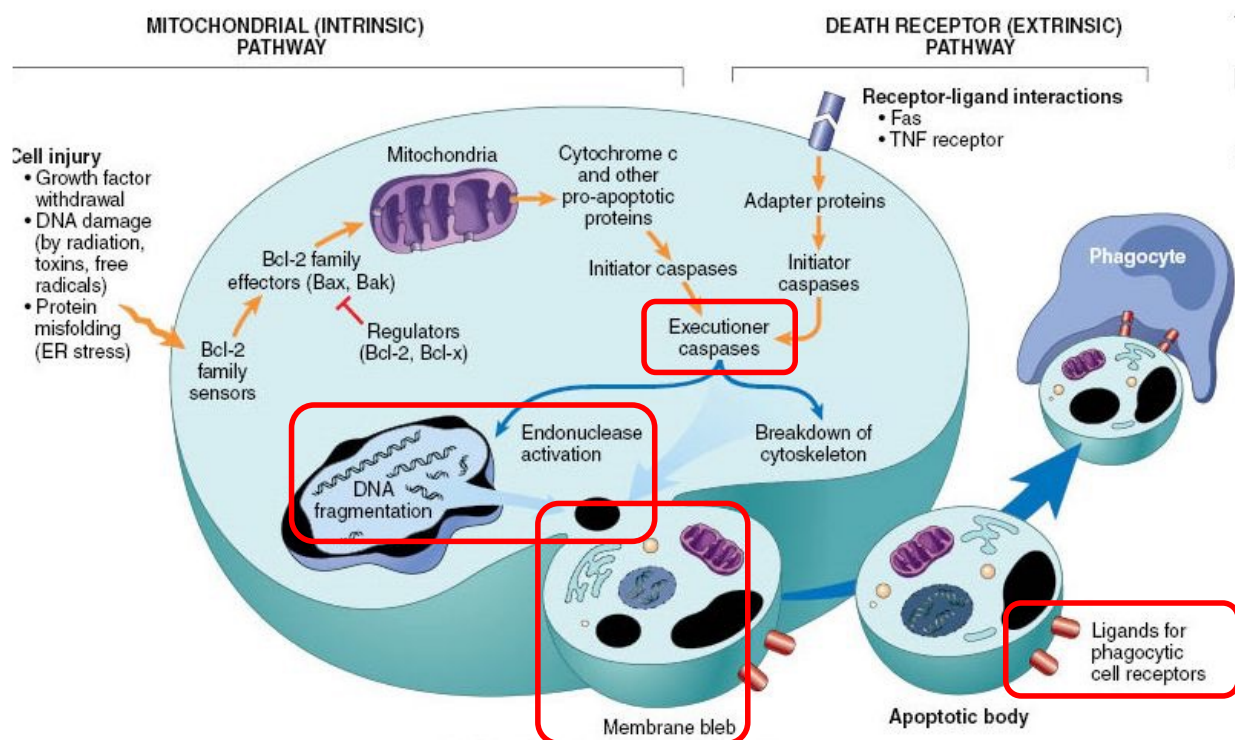


- Normal human mammary epithelial cells (MECs) grown in suspension as spheres form a basal lamina (red: laminin 5)
- Cells that fail to adhere to the basal lamina undergo **apoptosis** (marked by cleaved Caspase-3 staining, green)

Figure 9.22 *The Biology of Cancer* (© Garland Science 2014)

18

## Changes in apoptotic cells induced by Executioner Caspases



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19

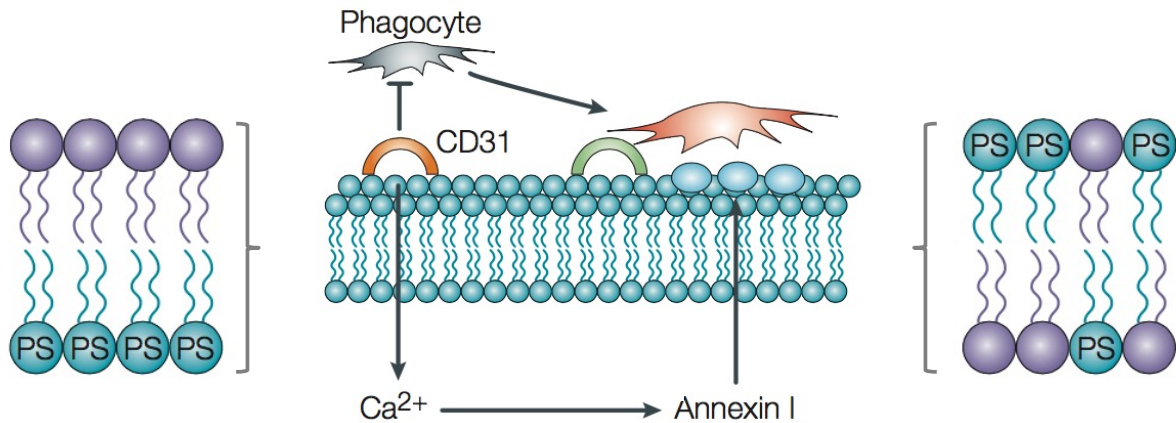
# Phagocytosis of apoptotic bodies is induced by specific 'eat-me' signals

## Healthy cells:

- translocate all phosphatidyl-serine to the inner leaflet of the plasma membrane
- display 'Don't eat-me' signals, e.g. CD31

## Apoptotic cells:

- externalize PS
- complexes of PS with Annexin I, and other factors act as 'Eat-me' signals

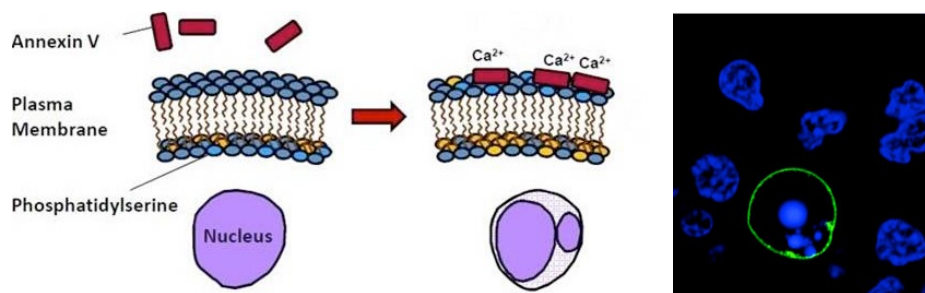


Orrenius et al. 2003 Nat Rev Mol Cell Biol

20

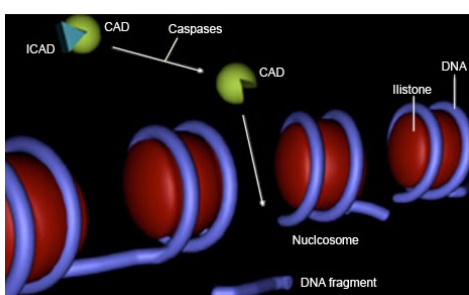
## Four widely used methods to detect apoptosis

- Immunostaining of activated caspase-3
- Annexin V labelling of externalized phosphatidylserine:

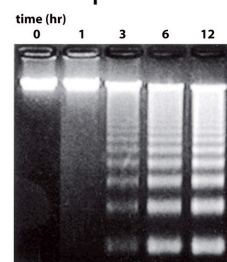


- Gel electrophoresis of the genomic DNA

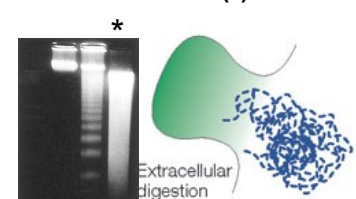
Characteristic fragmentation by **C**aspase-**d**ependent nuclease (CAD)



180 bp 'ladder':



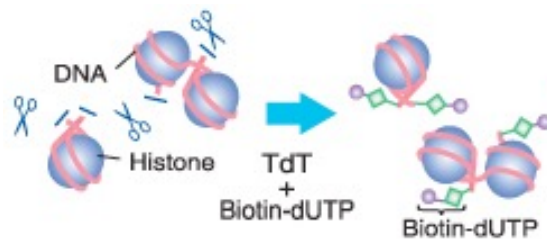
Contrasts the DNA smear of necrotic cells (\*):



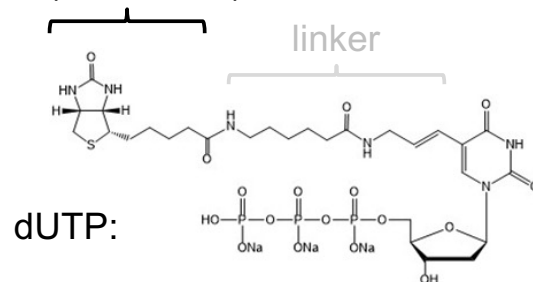
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#### 4. TUNEL assay

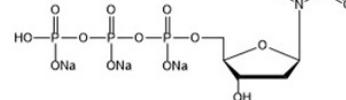
Terminal deoxynucleotidyl transferase dUTP-mediated Nick End Labelling



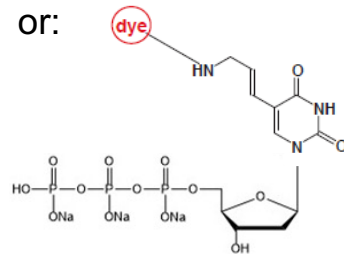
biotin (vitamin B7):



dUTP:



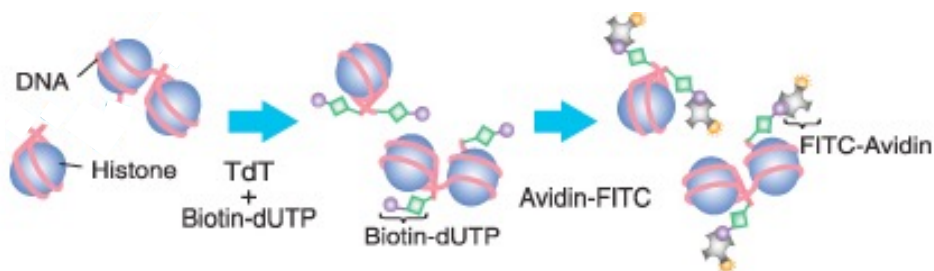
OR:



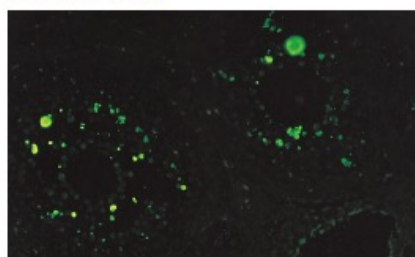
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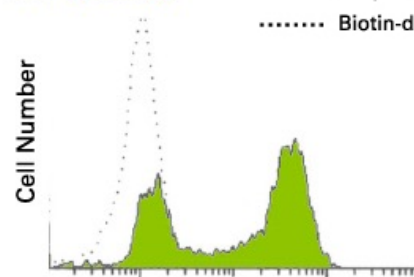


Histochemistry



Mouse ovary

Flow Cytometry



Fluorescence intensity

24

## Distinct apoptotic and necrotic features

Feature	Necrosis	Apoptosis
Phagocytosis	Debris of lysed cells ingested by macrophages	Apoptotic bodies engulfed by variety of cells
Inflammatory response	significant	no
Occurrence	pathologic	pathologic and physiologic
Cell size	swelling	shrinkage
Plasma membrane	breaking up	blebbing, but largely intact
Organelles	lysosomal leakage	retained in apoptotic bodies
Chromatin	released	condensed
DNA fragmentation	random	180 bp ladder

25

## Apoptosis – Concepts to remember

- Extrinsic (Fas) or Intrinsic (mitochondrial proteins) stimuli activate distinct 'initiator Caspases'
- 'Executioner Caspases' act downstream by cleaving specific cytoskeletal and other proteins, incl. ICAD
- Inactivation of ICAD by Casp3 activates the DNA nuclease CAD to triggers DNA fragmentation (180 bp ladder)
- Extrusion of phosphatidylserine and other specific "Eat-me" signals trigger phagocytosis of 'apoptotic bodies' *without inflammation*

26



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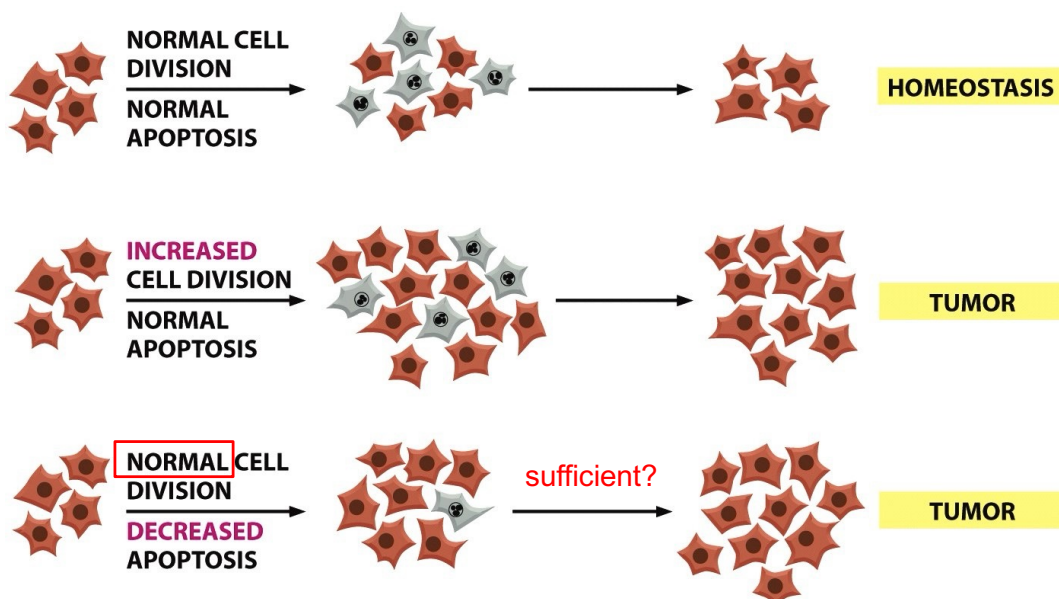
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27

## Impact of cell death on tumor volume

*In normal adult tissue homeostasis (e.g. liver), unknown mechanisms balance cell death and proliferation:*



28

# Impact of cell death on tumor volume

Knockout mice lacking *individual* caspases are not cancer-prone

Nevertheless, caspases are frequently lost or downregulated in human cancers:

**Table 1** Mutations and imbalances of caspase expression reported in human tumors and cell lines

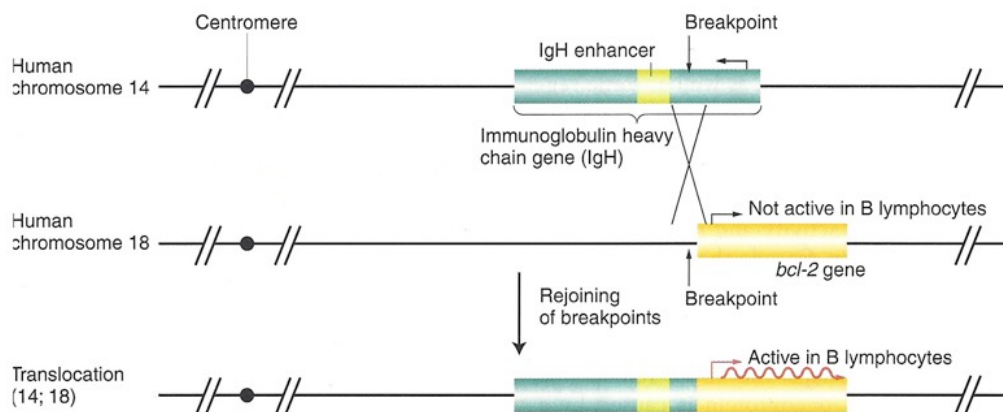
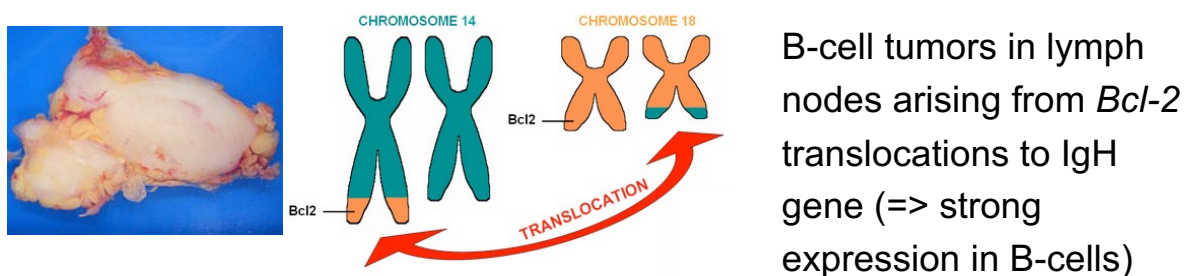
Caspase	Mutation	Protein expression	Cancer	Frequency	Reference
CASP8	Promoter methylation	Low/absent	Childhood neuroblastomas	11/42	Teitz <i>et al.</i> <sup>32</sup>
CASP8	Nonsense	NA	Advanced gastric	13/122	Soung <i>et al.</i> <sup>37</sup>
	Missense				
	Frameshift				
	Deletion				
CASP8	Nonsense	NA	Invasive colorectal	5/98	Kim <i>et al.</i> <sup>36</sup>
	Missense				
	Frameshift				
CASP8	Missense	NA	Head and neck	1/1	Mandrizzato <i>et al.</i> <sup>35</sup>
CASP8	Frameshift	NA	Hepatocellular	9/69	Soung <i>et al.</i> <sup>37</sup>
Caspase-8	NA	Low/absent	Lung and breast tumor cell lines	6/55	Kischkel <i>et al.</i> <sup>48</sup>
Caspase-10	NA	Low/absent	Lung and breast tumor cell lines	31/55	Kischkel <i>et al.</i> <sup>48</sup>
Caspase-2	NA	Low/absent	Gastric	78/120	Yoo <i>et al.</i> <sup>58</sup>
Caspase-9	NA	Low/absent	Colorectal	12/26	Palmerini <sup>82</sup>
Caspase-6	NA	Low/absent	Gastric	57/120	Yoo <i>et al.</i> <sup>58</sup>
CASP7	Nonsense	NA	Colorectal	2/98	Soung <i>et al.</i> <sup>81</sup>
CASP7	Missense	NA	Esophageal	1/50	Soung <i>et al.</i> <sup>81</sup>
CASP7	Missense	NA	Head and neck	1/33	Soung <i>et al.</i> <sup>81</sup>
Caspase-7	NA	Low/absent	Colorectal	22/26	Palmerini <sup>82</sup>
Caspase-7	NA	Low/absent	Gastric	81/120	Yoo <i>et al.</i> <sup>58</sup>
Caspase-3	NA	Low/absent	Breast	23/31	Devarajan <i>et al.</i> <sup>69</sup>

Abbreviation: NA, not analyzed

Olsson & Zhivotovsky, 2011, Cell Death and Differentiation 18:1441–1449

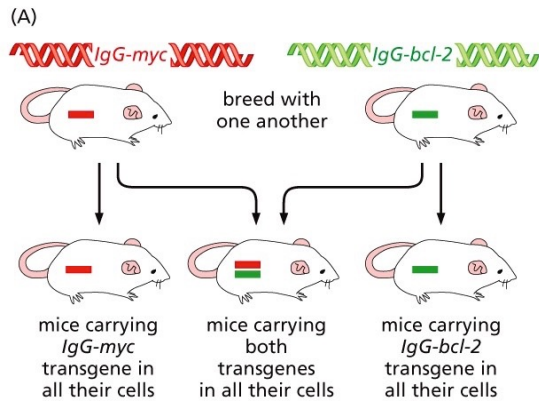
29

## Direct evidence for the tumor-promoting role of anti-death signals: B cell lymphoma 2 (Bcl-2) drives follicular lymphoma formation



30

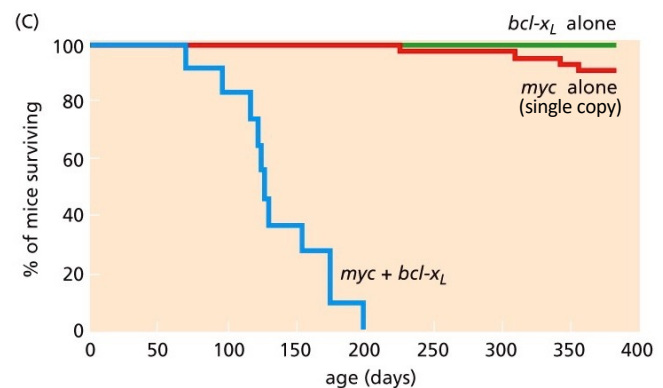
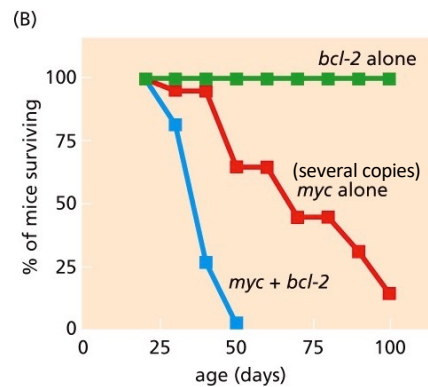
## Blockade of apoptosis induces lymphoma (only) if...



**Bcl-2 or Bcl-X<sub>L</sub> in combination with sustained proliferation signals:**

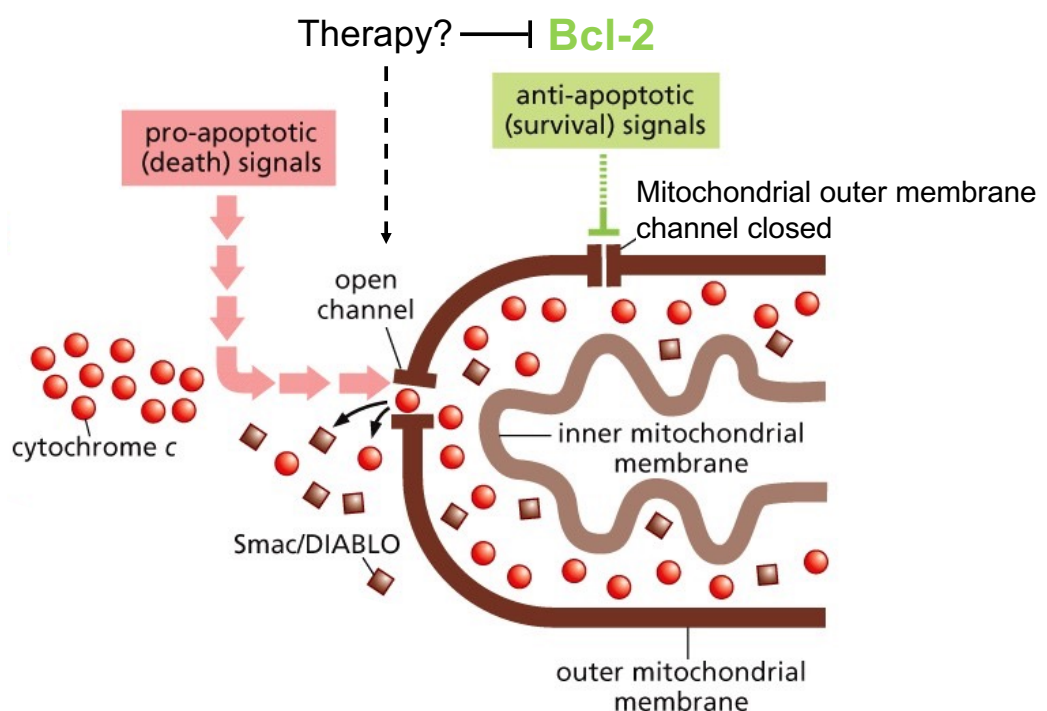
- e.g. with c-myc upregulation (additional hallmark capability!)

Bcl-2 alone prolongs **cellular lifespan** but without increasing cell proliferation



31

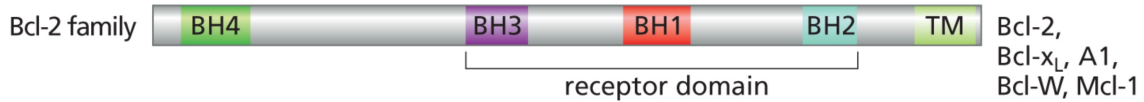
## Role of Bcl-2 in sustaining mitochondrial membrane impermeability



32

## Distinct classes of BH domain proteins inhibit or promote apoptosis, respectively

**pro-survival** (i.e. anti-apoptotic)



**pro-apoptosis**

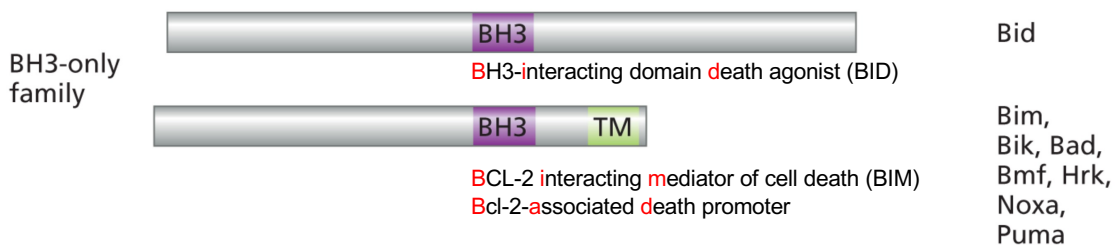
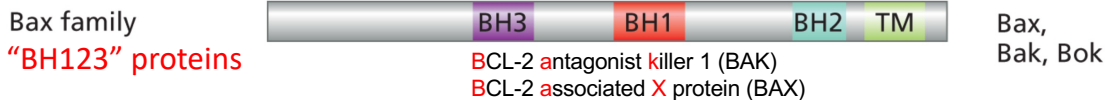
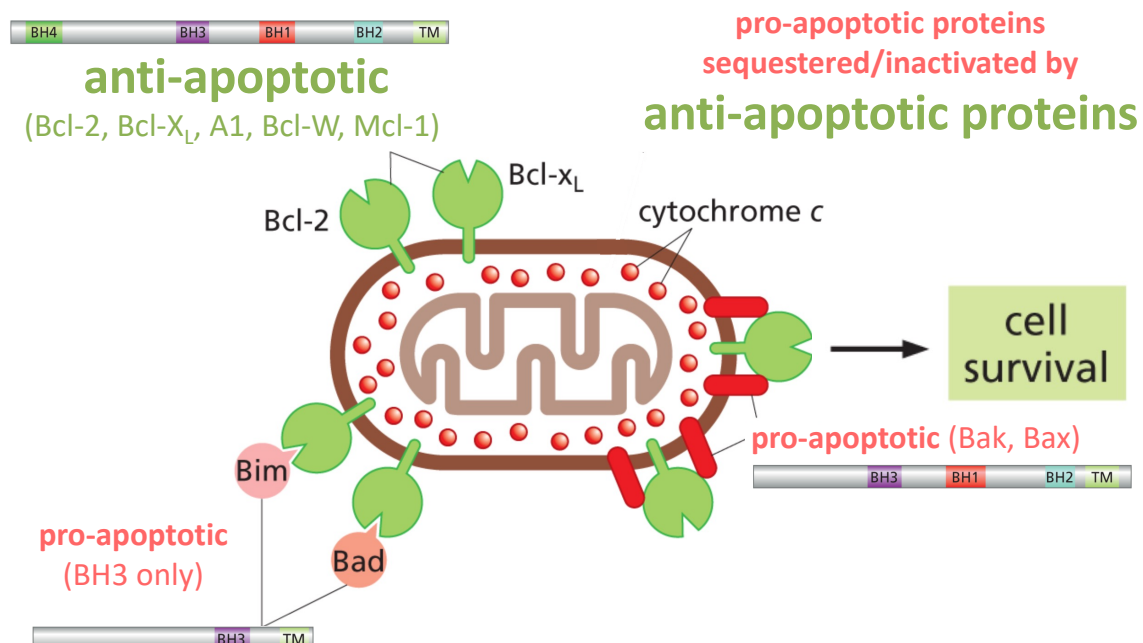


Figure 9.23 *The Biology of Cancer*

33

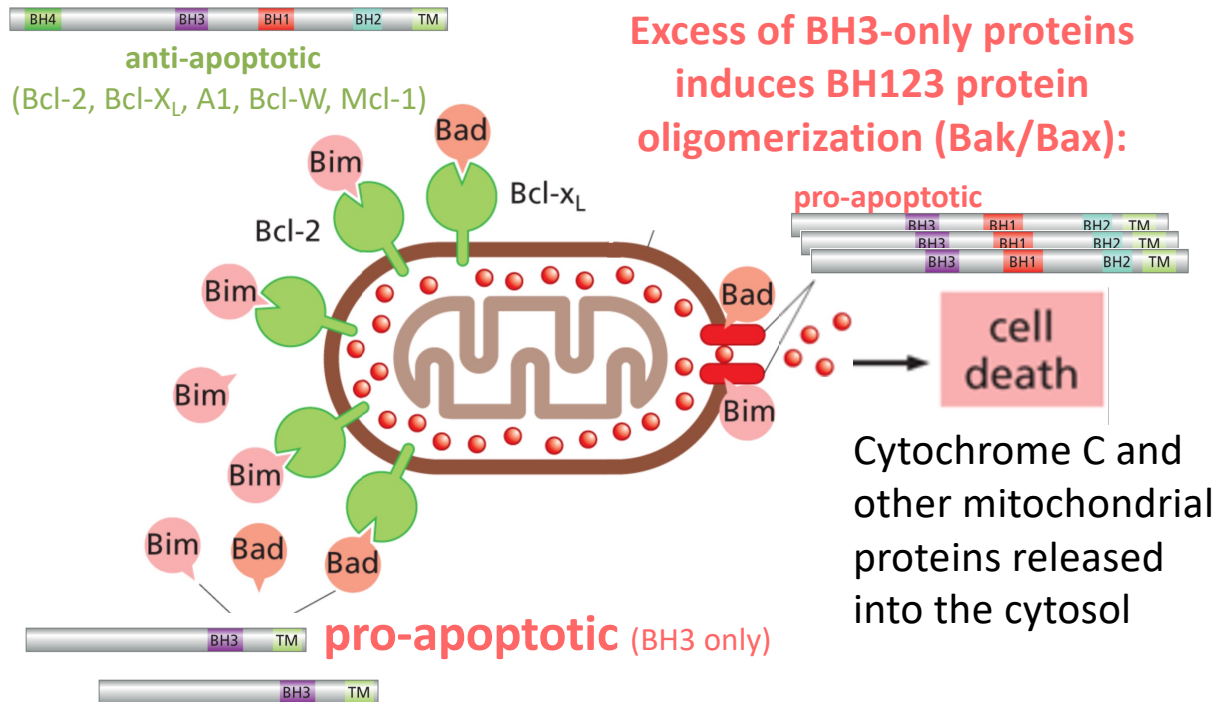
Intrinsic apoptosis is controlled by the ratio between pro- & anti-apoptotic BH domain proteins



after Fig. 9.23 *The Biology of Cancer*

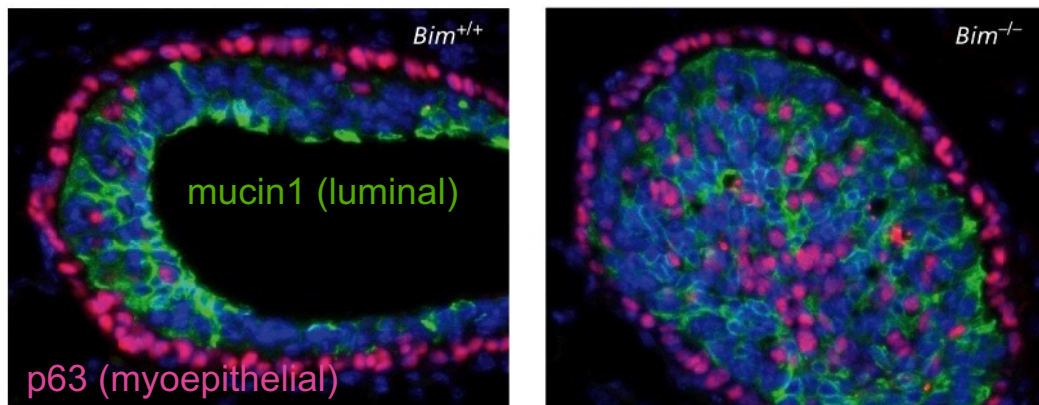


Under stress conditions, pro-apoptotic BH3-only proteins overwhelm anti-apoptotic factors



after Fig. 9.23 *The Biology of Cancer*

## Testing the role of a BH3-only protein



- Bim mediates anoikis  
(= apoptosis induced by loss of ECM attachment of integrins)
- Example:  
Lumen formation by mouse mammary epithelial cells

# BH3-only proteins sense diverse stress signals

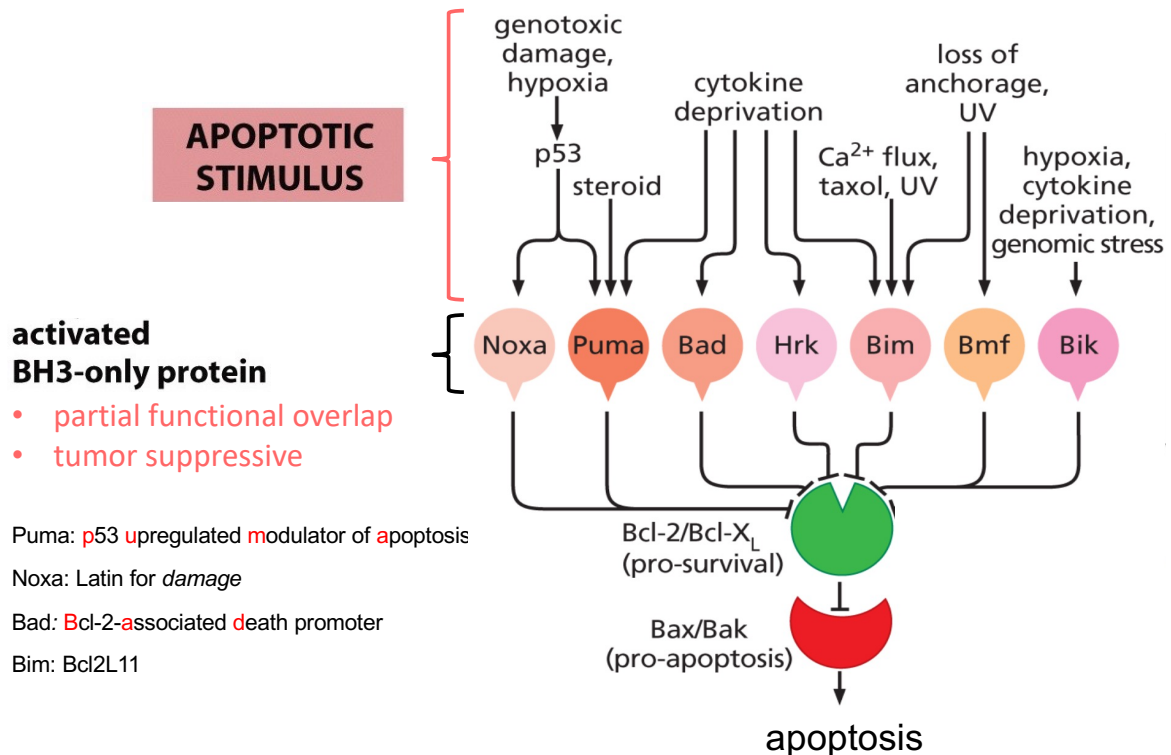
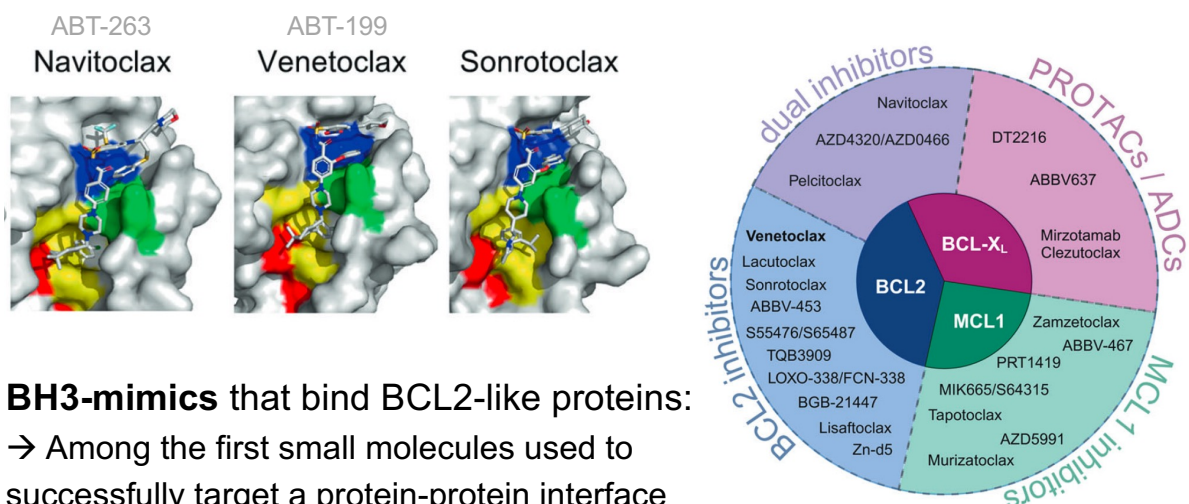


Figure 9.25A *The Biology of Cancer*

37

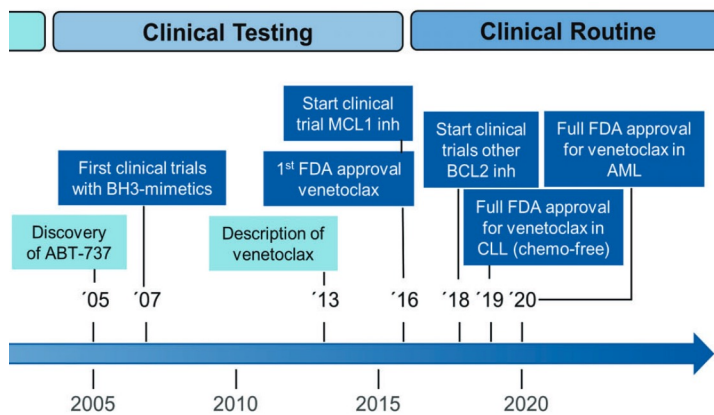
## Induction of apoptosis by BH3-only mimics



- **BH3-mimics** that bind BCL2-like proteins:  
→ Among the first small molecules used to successfully target a protein-protein interface
- **Navitoclax** (blocks BCL-2 and -X<sub>L</sub>): Unacceptable on-target toxicity
- **Venetoclax** (selective for BCL-2): FDA-approved in chronic lymphocytic leukemia (CLL) & acute myeloid leukemia (AML)
- **Sonrotoclax** (higher affinity): Clinical trials ongoing

38

# Development of BH3-only mimics

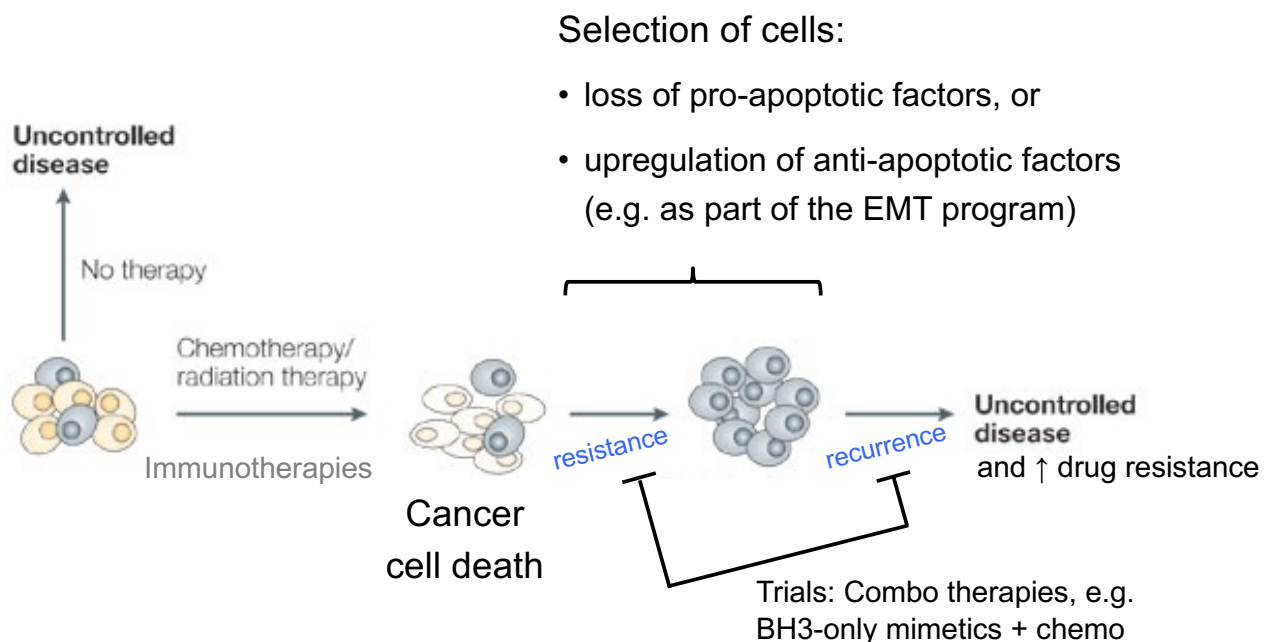


**Table 1.** BH3-mimetics in clinical development

Name	Target	Status	Original Ref
Venetoclax / ABT-199	BCL2	FDA approved	<a href="#">32</a>
Sonrotoclax / BGB11417	BCL2	Phase III	<a href="#">338</a>
Lisafoclax / APG-2575	BCL2	Phase III	<a href="#">527</a>
ABBV-453	BCL2	Phase I	unpublished
ABBV-623	BCL2	Terminated	unpublished
S55746 / BCL201	BCL2	Phase I completed	<a href="#">336</a>
S65487 / VOB560	BCL2	Phase I (halted)	<a href="#">337</a>
FCN-338 / LOXO-338	BCL2	Phase I	<a href="#">346</a>
BGB21447	BCL2	Phase I	unpublished
ZN-d5	BCL2	Phase I/II	<a href="#">344</a>
Lacutoclax / LP-108	BCL2	Phase I/II	<a href="#">350</a>
TQB3909	BCL2	Phase I/II	unpublished
Navitoclax / ABT-263	BCL2/BCL-X <sub>L</sub>	Phase III	<a href="#">27</a>
AZD4320 and AZD0466	BCL2/BCL-X <sub>L</sub>	Terminated	<a href="#">438</a>
Pelcitoclax / APG-1252	BCL2/BCL-X <sub>L</sub>	Phase I	<a href="#">365</a>
Mirzotamab Clezutoclax / ABBV-155 (ADC)	BCL-X <sub>L</sub>	Phase I (halted)	<a href="#">441,442</a>
ABBV-637 (ADC)	BCL-X <sub>L</sub>	Phase I (halted)	<a href="#">443</a>
MIK665 / S64315	MCL1	Phase I (halted)	<a href="#">370</a>
AZD5991	MCL1	Phase I	<a href="#">539</a>
Tapotoclax / AMG176	MCL1	Phase I (halted)	<a href="#">373</a>
Murizatoclax / AMG397	MCL1	Terminated	<a href="#">375</a>
Zamzetoclax/ GS9716	MCL1	Phase I	<a href="#">378</a>
ABBV-467	MCL1	Terminated	<a href="#">371</a>
PRT1419	MCL1	Terminated	<a href="#">377</a>

Vogler et al. 2025, Sig Transduct Target Ther 10:1-31

## Defective apoptosis promotes tumor recurrence and drug resistance



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41

Loss of p53 impairs the expression of *multiple* pro-apoptotic genes

- ✓ cytostatic (p21, FoxO)
- proapoptotic (Fas, Bax, Puma...)
- anti-survival (IGF binding proteins)

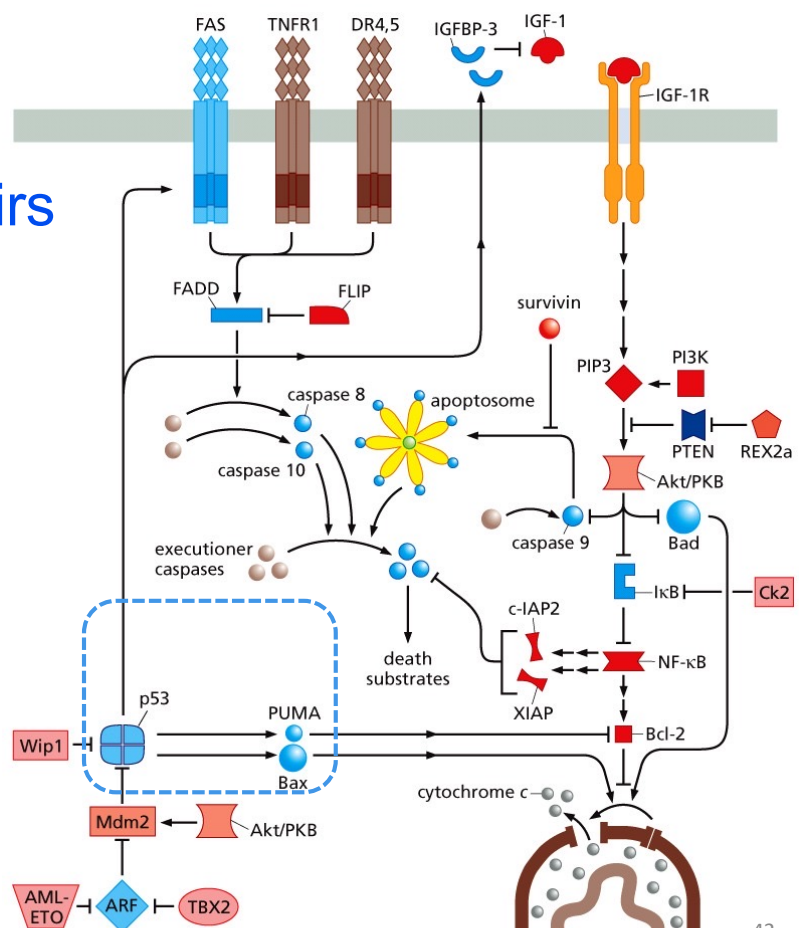


Figure 9.36 The Biology of Cancer (© Garland Science 2014)

42



## Ways how cancer cells increase survival signals:

- upregulate **Bcl-2** or **IAPs**
- or hyperactivate the transcription factor **NF- $\kappa$ B** or **Akt** acting upstream

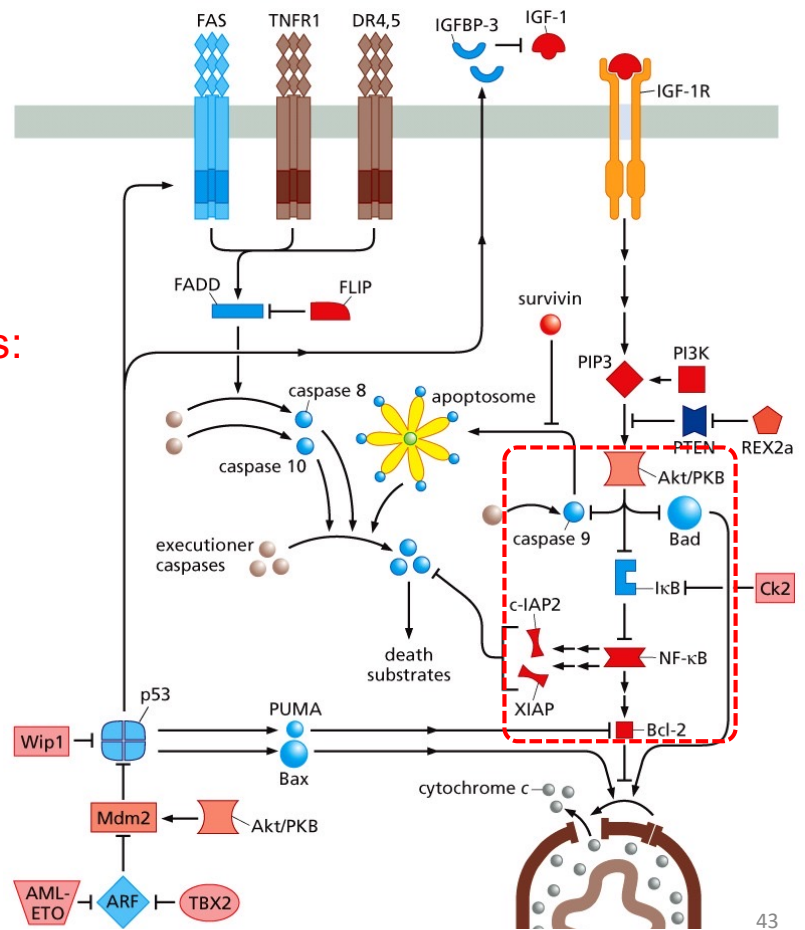


Figure 9.36 *The Biology of Cancer* (© Garland Science 2014)

43

## Centrality of Akt for survival

- Tumors evade death directly by mutating **p53**, or through downregulation of pro-apoptotic factors (blue)
- or by inducing **Bcl-2** or inhibitors of apoptosis (IAPs), e.g. through the transcription factor **NF- $\kappa$ B**.
- Both p53 and NF $\kappa$ B are **regulated by Akt** (indirectly):

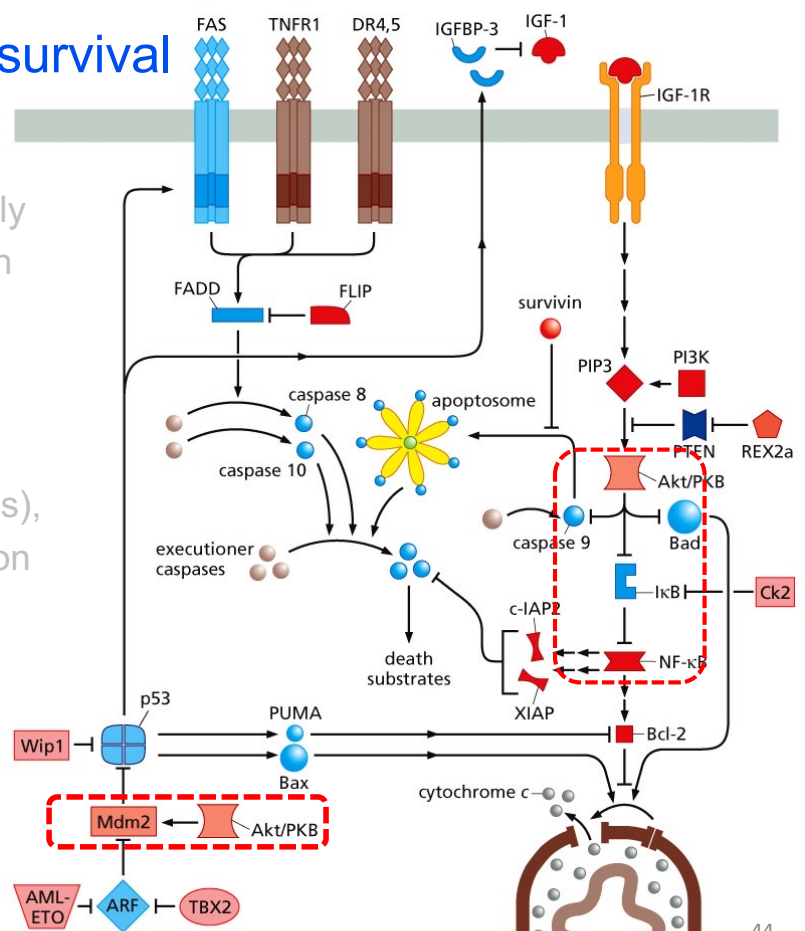
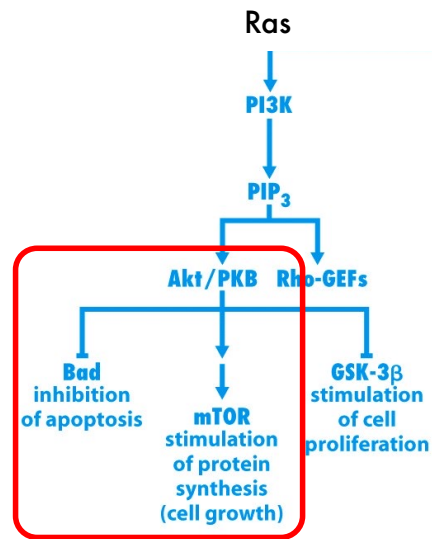
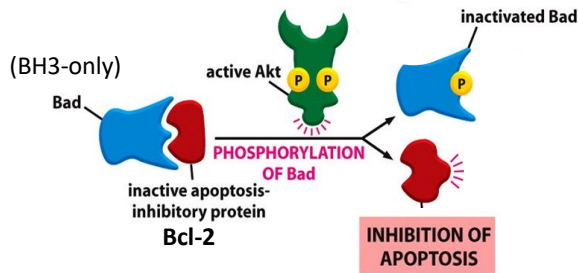


Figure 9.36 *The Biology of Cancer* (© Garland Science 2014)

44

## Centrality of Akt (and downstream effectors) for survival

- Akt directly phosphorylates Bad to release the survival factor Bcl-2:



- Akt indirectly stimulates mTOR (and thus mRNA translation)

45

## Outline

- Apoptosis as one form of Regulated Cell Death (RCD)
  - ✓ Distinctive features of apoptosis, and the role of caspases
  - ✓ Extrinsic versus intrinsic (mitochondrial) apoptosis
  - ✓ Techniques to detect apoptosis
- Tumor suppressive role of apoptosis
  - ✓ Dual regulation of intrinsic apoptosis by the Bcl-2 family
  - ✓ BH3 mimetics as novel anti-cancer drugs
- Anti-apoptotic cell survival signaling
  - ✓ Inactivation of p53 and/or its target genes
  - ✓ Phosphorylation by Akt inactivates *multiple* pro-apoptotic signals
  - ✓ Examples: Upstream regulators of Bcl-2 and p53
- Cell survival signaling by mTOR complexes
  - **Discovery of mTOR and Rapamycin-like inhibitors (Rapalogs)**
  - Remaining hurdles for 2<sup>nd</sup> and 3<sup>rd</sup> generation mTOR inhibitors

46

## A metabolic switch: Role of Akt & mTOR

Energy demand of cancer cells is met by increased glucose uptake, **glycolysis** and lactate fermentation in the cytosol (Warburg effect)



Increased glycolysis also provides citrate for increased **fatty acid synthesis** (→ cell size)

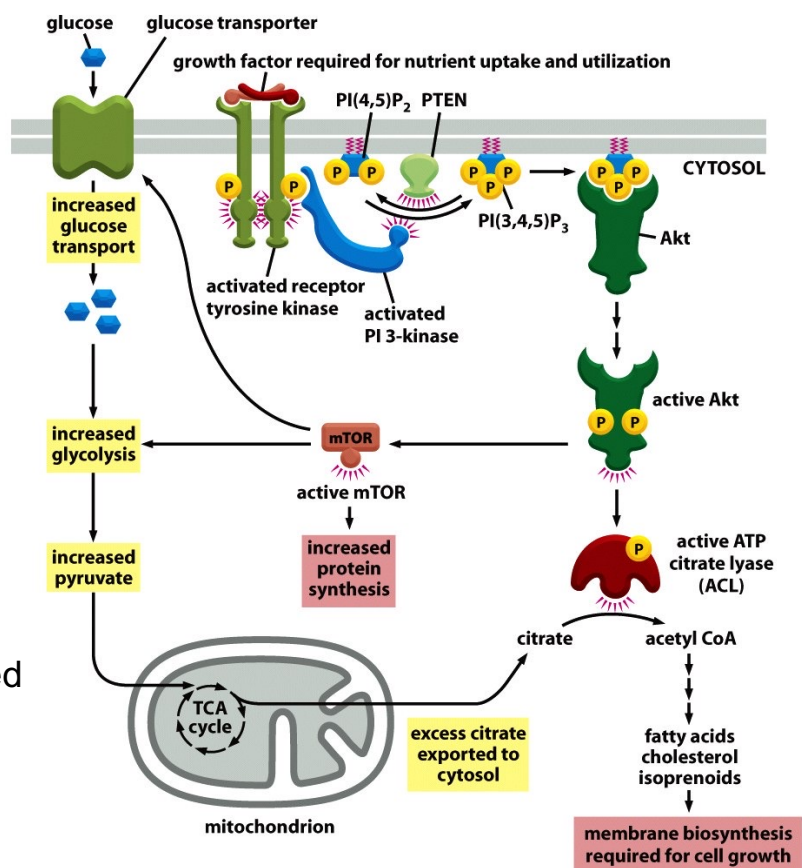
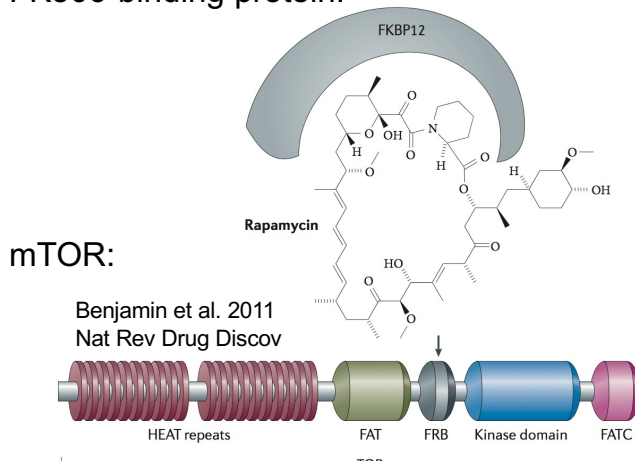


Figure 20-39b *Molecular Biology of the Cell* (© Garland Science 2008)

47

## (mammalian) Target Of Rapamycin (m)TOR

FK506-binding protein:



Not only the origin of  
"Kopfsteinpflaster" 😊

- 1975: **Rapamycin** isolated as an antifungal antibiotic of *Streptomyces* in soil from **Rapa Nui (Easter Island)**
- 1988: FK506 (Tacrolimus) isolated from another *Streptomyces* strain
- 1991: **Recruitment of FKBP12 by Rapamycin allosterically inhibits TOR**
- >1999: Rapamycin for organ transplant recipients (immune suppression)

48

# Survival signaling by three mTOR complexes

## mTORC1

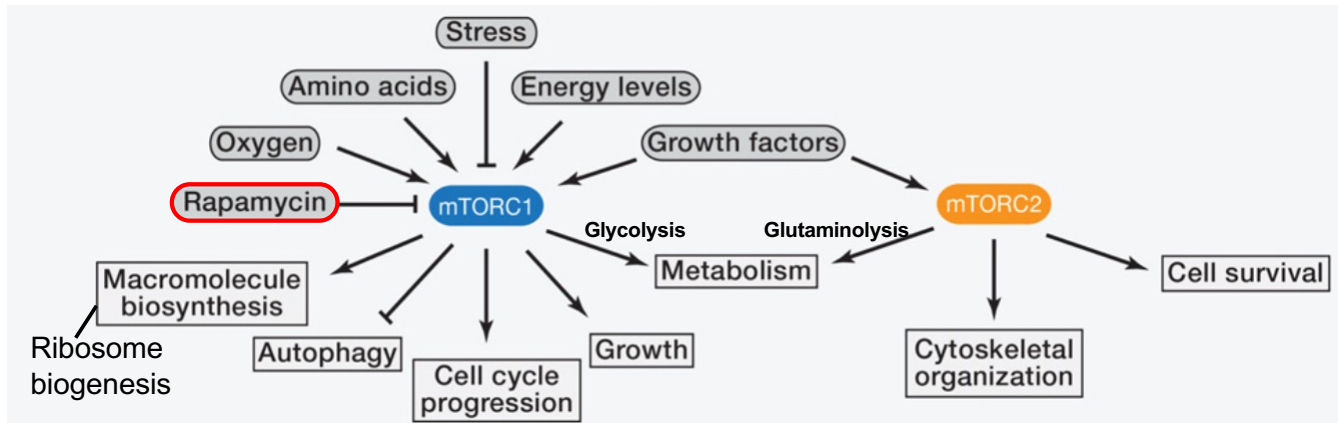
**mTOR** Serine/threonine kinase  
**raptor** Scaffold protein regulating the assembly, localization, and substrate binding of mTORC1

**Raptor:**  
Regulatory-associated protein of mTOR

## mTORC2

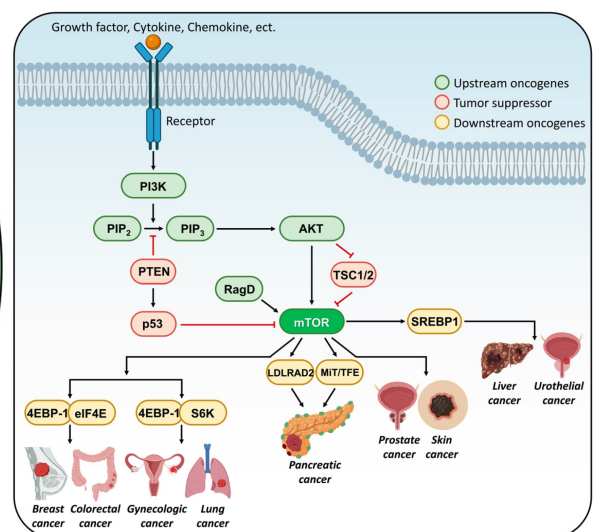
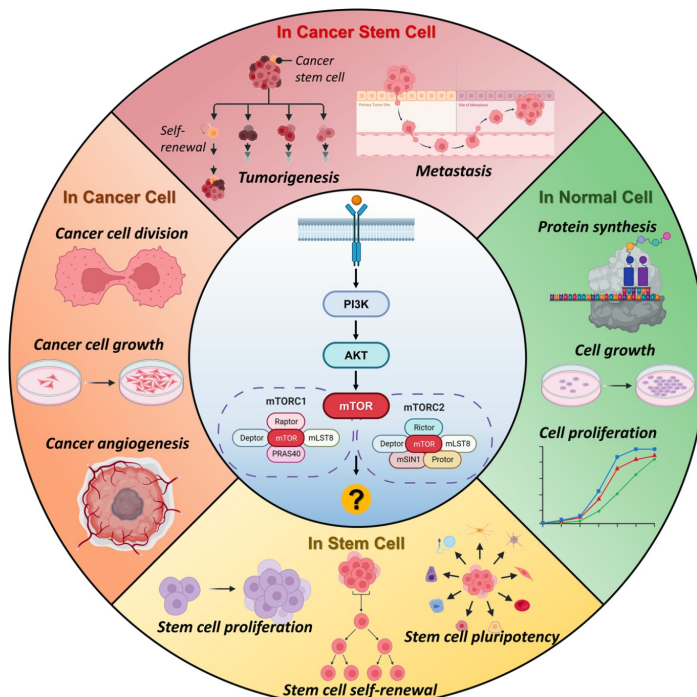
**mTOR** Serine/threonine kinase  
**ricor** Scaffold protein regulating the assembly and substrate binding of mTORC2

**Rictor:**  
rapamycin-insensitive companion of mTOR



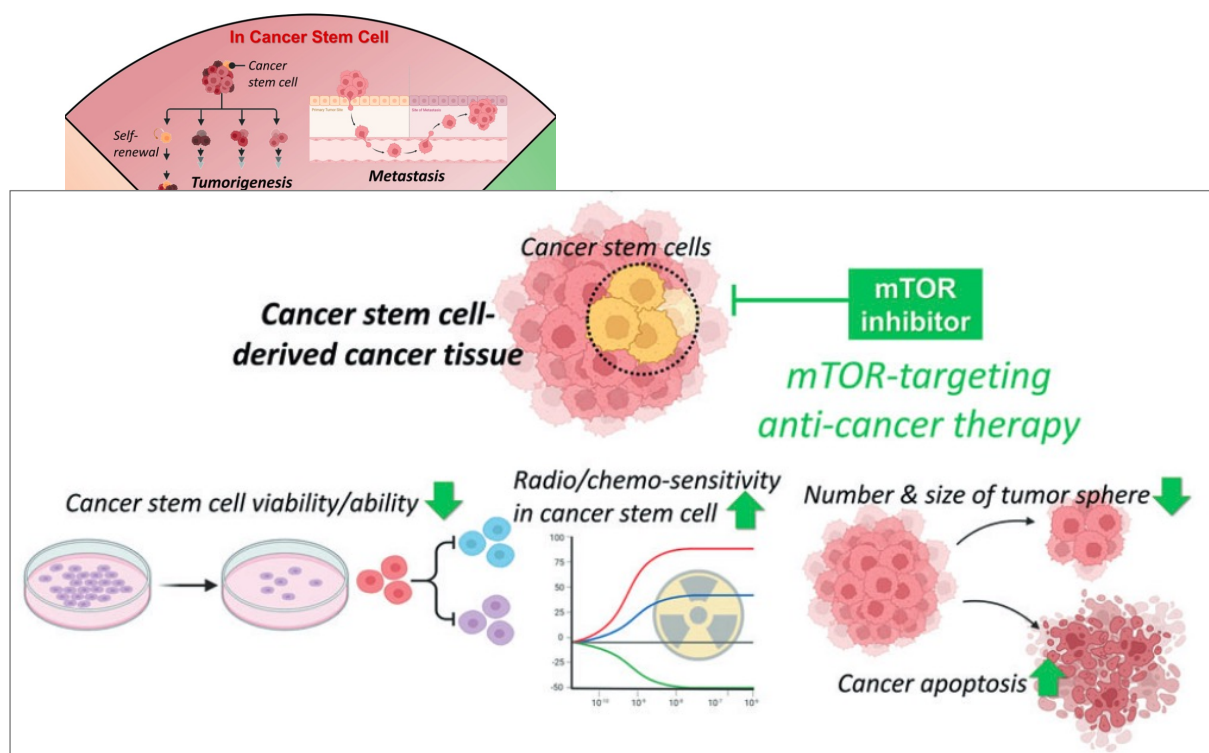
49

# mTOR promotes cancer in multiple tissues



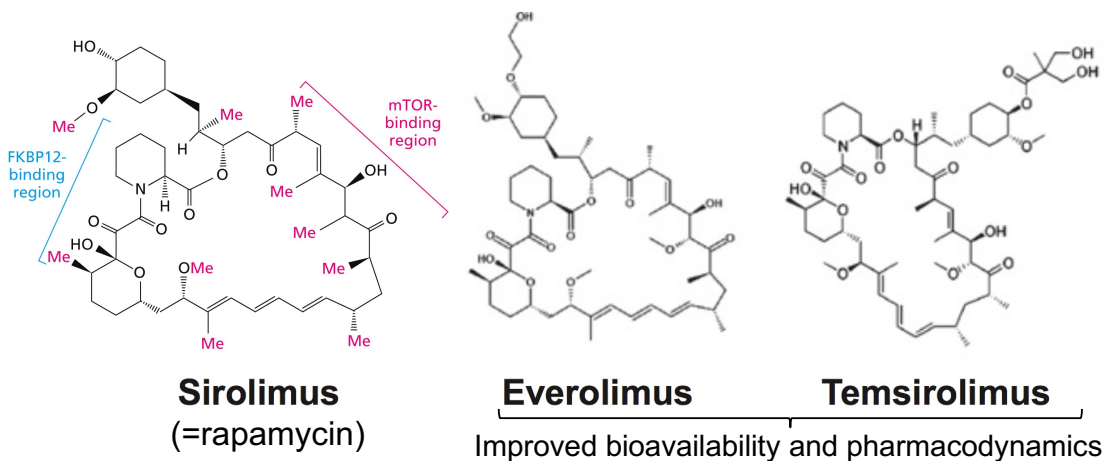


# mTOR inhibitors to reduce cancer recurrence?



Son et al. 2024, Cell Death & Disease 9:1-18

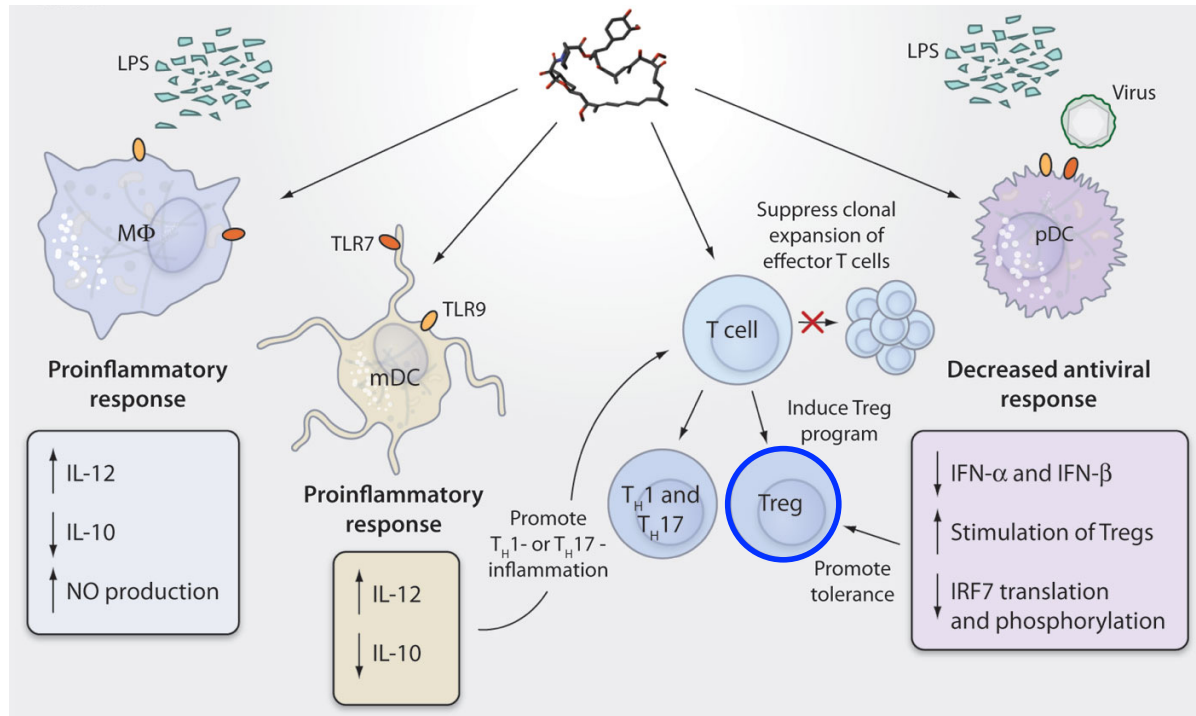
## 1<sup>st</sup> generation mTORC1 inhibitors (rapalogs)



**Table 1.** Rapalogs and approved indications from the FDA and EMEA

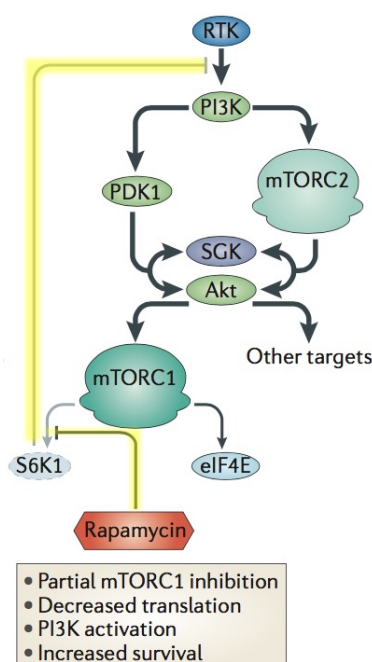
Compound	Approved indication	Agency	References
Sirolimus	Prophylaxis of organ rejection in renal transplant patients	FDA/EMA	39
Everolimus	Refractory advanced renal cell carcinoma	FDA/EMA	54
Temsirolimus	Poor-prognosis untreated advanced renal cell carcinoma	FDA/EMA	53
	Refractory mantle-cell lymphoma	EMA	55

## Rapalogs induce immune tolerance by promoting immunosuppressive Treg cells



Janes & Fruman 2009, Sci Signal 67:pe25

## Rapalogs showed only modest efficacy as anti-cancer drugs: Why?



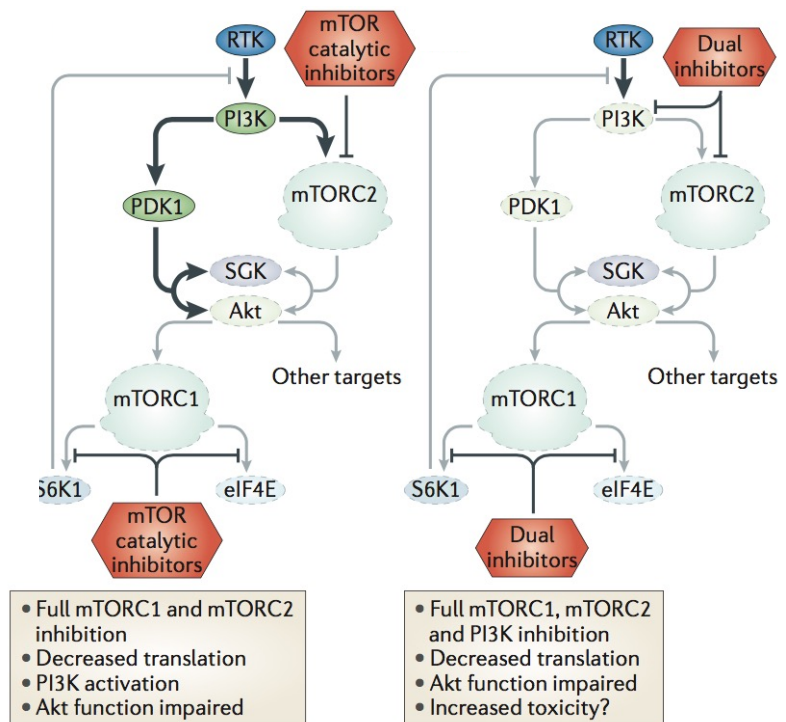
Rapamycin and its analogs:

- have pro- and anti-inflammatory effects which overall promote immune tolerance
- they poorly inhibit mTORC2
- they only incompletely inhibit even mTORC1: eIF4 remains active!
- Paradox: **Rapalogs hyperactivate PI3K/mTORC2/Akt signaling** due to loss of feedback inhibition by ribosomal protein S6 kinase (S6K)

# Strategies to improve mTOR inhibition

2<sup>nd</sup> generation inhibitors:

- mTOR catalytic inhibitors
- Dual specificity inhibitors
- Issues:
  - increased toxicity
  - mTOR mutations that can confer resistance



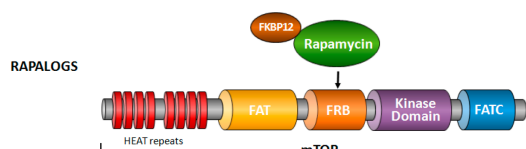
Zoncu et al. 2011 Nat Rev Mol Cell Biol

55

## Overcoming mTOR resistance mutations with 3rd-generation inhibitors

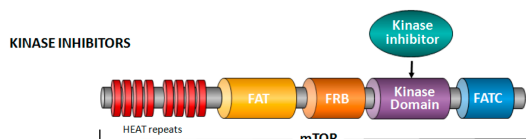
1<sup>st</sup> generation

Rapalogs (allosteric inhibitors)



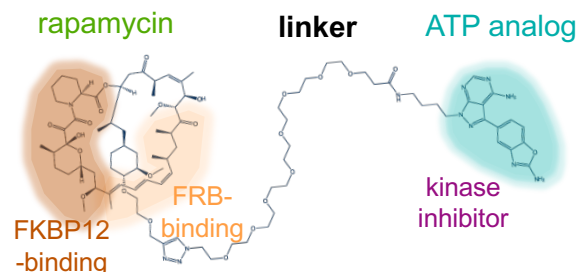
2<sup>nd</sup> generation

ATP analogs (kinase inhibitors)

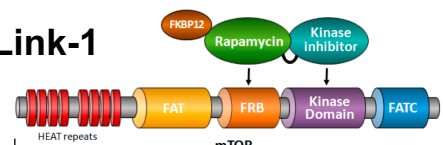


3<sup>rd</sup> generation

Rodrik-Outmezguine et al. 2016, Nature 534:272-276



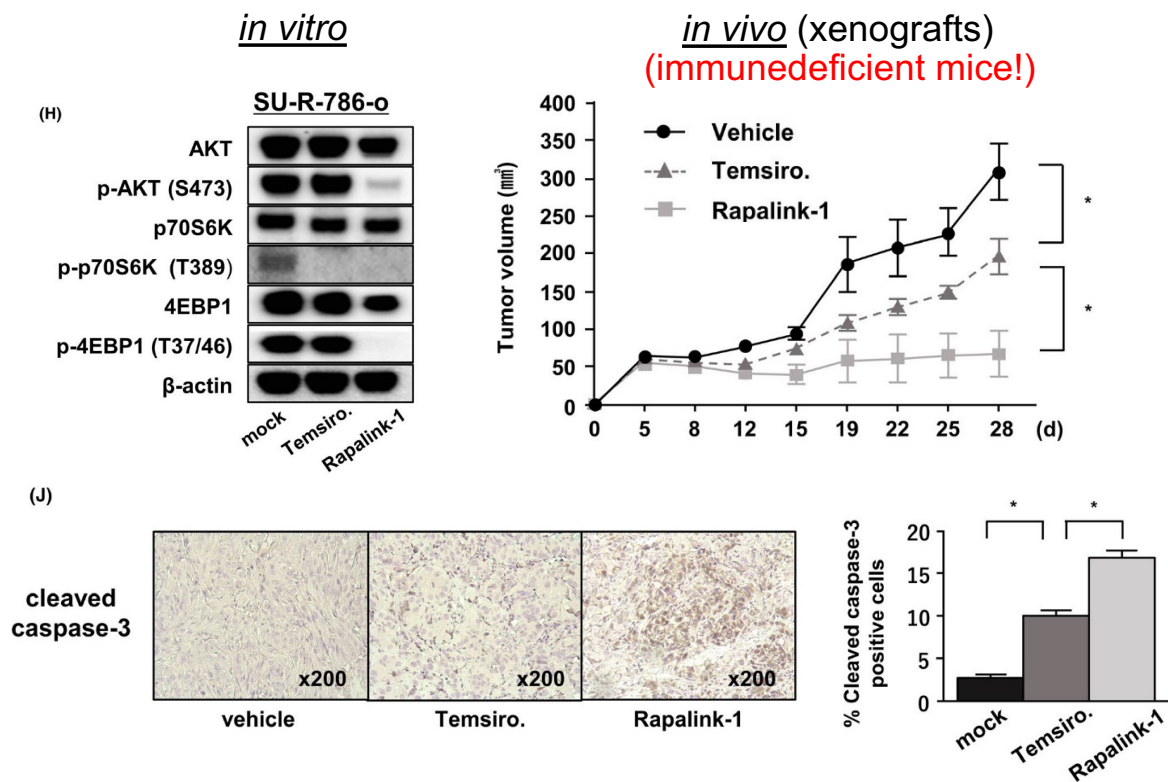
RapaLink-1



Still problematic: Effects on anti-tumor immunity?

56

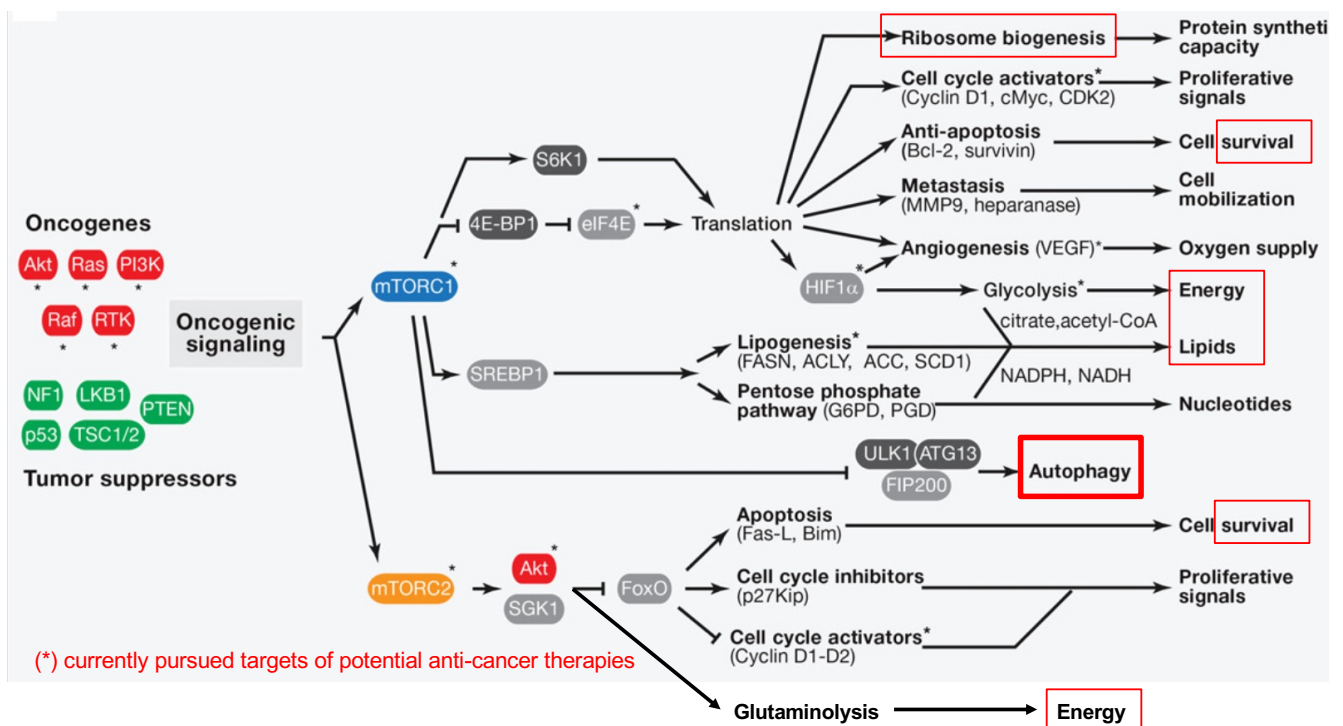
# RapaLink-1 efficacy in preclinical models



Kuroshima et al. 2020, Cancer Science 111:1607-1618

57

## mTORC1 regulation of autophagy



=> mTOR regulates aging, metabolic diseases (diabetes, obesity) and cancer

Laplane & Sabatini 2012 Cell 149:274-293

58



# Outline

## 1. Apoptosis as one form of Regulated Cell Death (RCD)

- ✓ Distinctive features of apoptosis, and the role of caspases
- ✓ Extrinsic versus intrinsic (mitochondrial) apoptosis
- ✓ Techniques to detect apoptosis

## 2. Tumor suppressive role of apoptosis

- ✓ Dual regulation of intrinsic apoptosis by the Bcl-2 family
- ✓ BH3 mimetics as novel anti-cancer drugs

## 3. Anti-apoptotic cell survival signaling

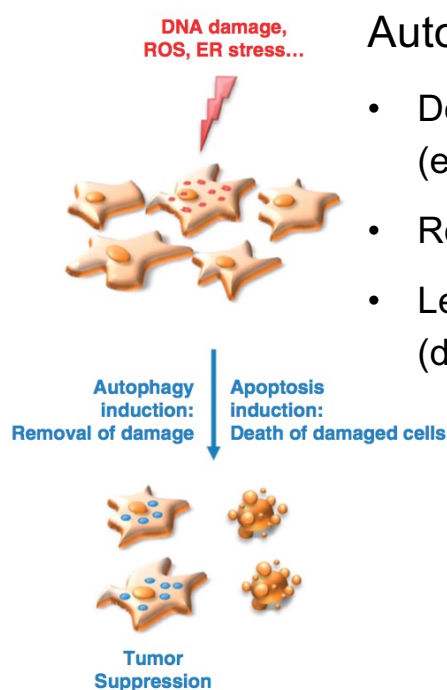
- ✓ Inactivation of p53 and/or its target genes
- ✓ Phosphorylation by Akt inactivates *multiple* pro-apoptotic signals
- ✓ Examples: Upstream regulators of Bcl-2 and p53

## 4. Cell survival signaling by mTOR complexes

- ✓ Discovery of mTOR and Rapamycin-like inhibitors (Rapalogs)
- Remaining hurdles for 2<sup>nd</sup> and 3<sup>rd</sup> generation mTOR inhibitors

59

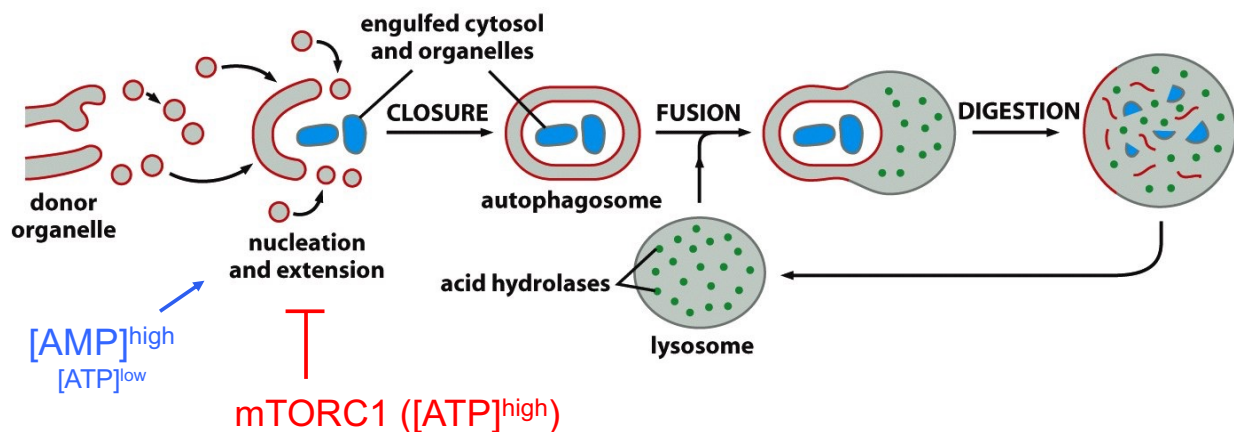
## Autophagy instead of apoptosis: Distinct outcomes



Autophagy is an alternative stress response:

- Degradation & recycling of defective organelles (e.g. mitochondria)
- Response to nutrient starvation
- Leads primarily to cell atrophy (death only follows after *prolonged* starvation)

# Autophagosome formation

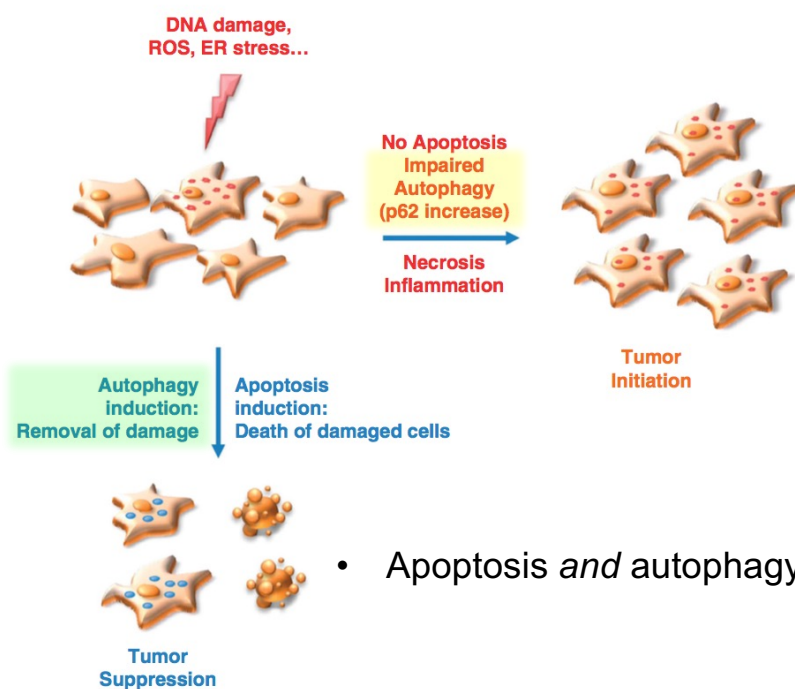


- Membrane engulfment of entire organelles
- Degradation of cargo (e.g. p62) requires fusion with lysosomes
- Autophagy is induced by stress (e.g. starvation), but suppressed by Akt/mTORC1 signaling

Figure 13-43 *Molecular Biology of the Cell* (© Garland Science 2014)

61

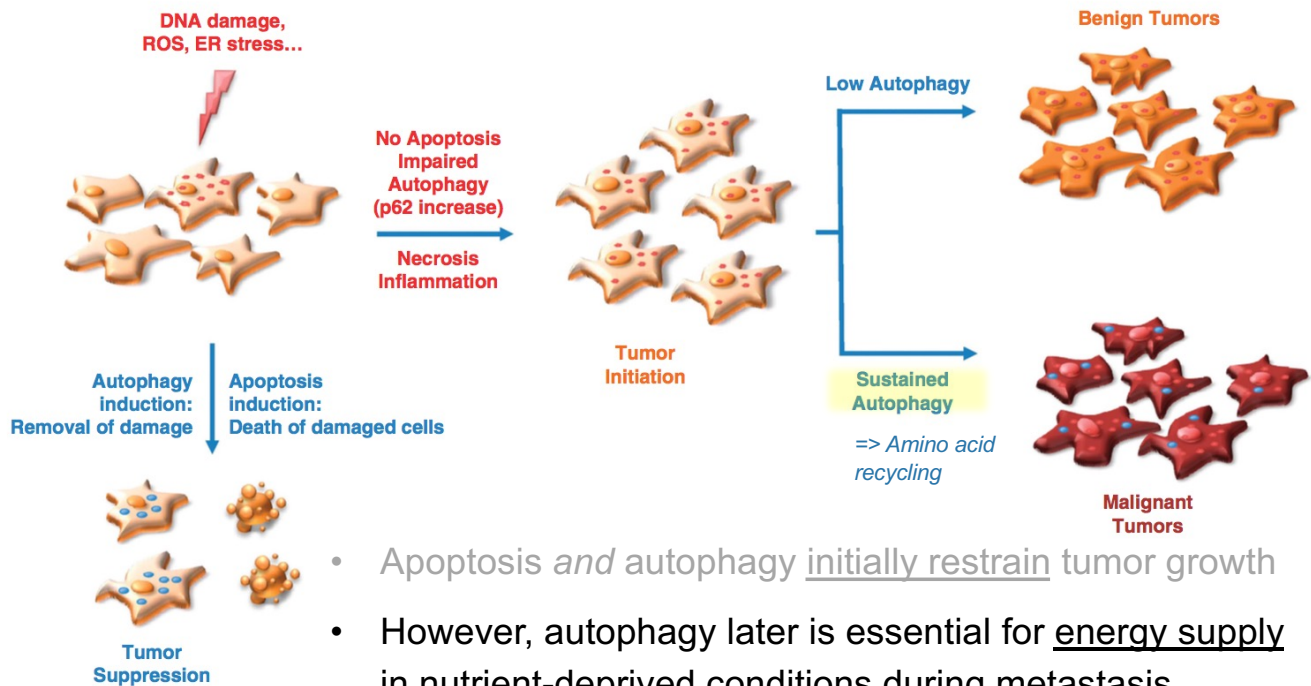
# Dual role of autophagy in cancer



- Apoptosis and autophagy initially restrain tumor growth

62

# Dual role of autophagy in cancer



- Apoptosis *and* autophagy initially restrain tumor growth
- However, autophagy later is essential for energy supply in nutrient-deprived conditions during metastasis

=> Implications for therapeutic strategies that target mTOR?

63

## Key concepts about apoptosis

- What is apoptosis, how can it be discerned, and what is its physiological role?
- How is it induced: Stimuli? Types of caspases and their roles?
- Roles of death receptors, Bcl-2 family members, mitochondria
- How do p53 and Akt regulate apoptosis? (at least a basic notion of mechanisms)
- mTOR inhibitors (classes & what they can achieve)
- Reasons why mTOR targeting proved to be more complicated than expected (feedback regulation, incomplete inhibition, three different mTOR complexes, autophagy activation...)

64